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**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY**

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**SUPERNUS PHARMACEUTICALS, INC.,**

**Plaintiff,**

**v.**

**APOTEX INC. and APOTEX CORP.,**

**Defendants.**  
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**Civil Action No. \_\_\_\_\_**

**COMPLAINT FOR PATENT  
INFRINGEMENT**

**(Filed Electronically)**

Plaintiff Supernus Pharmaceuticals, Inc. (“Supernus” or “Plaintiff”), by its undersigned attorneys, for its Complaint against Defendants Apotex Inc. and Apotex Corp. (collectively, “Apotex” or “Defendants”), alleges as follows:

**NATURE OF THE ACTION**

1. This is a civil action for patent infringement arising under the patent laws of the United States, Title 35, United States Code, involving United States Patent No. 11,166,960, attached hereto as Exhibit A (“the ’960 patent” or “the patent in suit”).

### **THE PARTIES**

2. Plaintiff Supernus is a corporation organized and existing under the laws of Delaware, having its principal place of business at 9715 Key West Avenue, Rockville, Maryland 20850.

3. Upon information and belief, Apotex Corp. is a corporation organized under the laws of Delaware and operating at its principal place of business at 2400 North Commerce Parkway, Suite 400, Weston, Florida 33326.

4. Upon information and belief, Apotex Corp. is in the business of, *inter alia*, developing, manufacturing, marketing, distributing, and directly and/or indirectly selling generic pharmaceutical products throughout the United States (including in the State of New Jersey), and importing generic pharmaceutical products into the United States (including into the State of New Jersey).

5. Upon information and belief, Apotex Corp., either directly or through one or more of its affiliates and/or agents, develops, manufactures, distributes, markets, offers to sell, and sells generic pharmaceutical products, including in the State of New Jersey.

6. Upon information and belief, Apotex Corp. is registered as a wholesale drug distributor in the State of New Jersey under Registration No. 5003192.

7. Upon information and belief, Apotex Inc. is an entity organized under the laws of Canada having a place of business at 150 Signet Drive, Toronto, ON M9L 1T9, Canada. Upon information and belief, Apotex Inc. is wholly-owned by defendant Apotex Corp. Upon information and belief, Apotex Inc. acts at the direction of, under the control of, and for the direct benefit of Apotex Corp. and is controlled and/or dominated by Apotex Corp.

8. Upon information and belief, Apotex Inc. is in the business of, *inter alia*, developing, manufacturing, marketing, distributing, and/or selling generic pharmaceutical

products throughout the United States (including in the State of New Jersey), and importing generic pharmaceutical products into the United States (including into the State of New Jersey).

9. Upon information and belief, Apotex Corp. and Apotex Inc. filed Abbreviated New Drug Application (“ANDA”) No. 213369 (“the Apotex ANDA”) with the FDA seeking approval to engage in the commercial manufacture, use, sale, offer for sale, and/or importation into the United States of generic oxcarbazepine extended-release tablets, containing 150 mg, 300 mg, and 600 mg of oxcarbazepine (“the Apotex Product”).

### **JURISDICTION AND VENUE**

10. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).

11. This Court has personal jurisdiction over Defendants under: (i) Fed. R. Civ. P. 4(k)(1) and N.J. Ct. R. 4:4-4; and/or (ii) Fed. R. Civ. P. 4(k)(2).

12. Upon information and belief, Defendants have purposefully availed themselves of the privilege of doing business in the State of New Jersey by continuously and systematically placing goods in the stream of commerce for distribution and sale throughout the United States, including the State of New Jersey. For example, upon information and belief, Defendants state on their website that they “export to more than 115 countries and territories, and operate in more than 45 countries, including a significant presence in the US, Mexico and India where we continue to invest.” Apotex Website, <https://www1.apotex.com/us/about-us/about-apotex> (last visited Jan. 24, 2022). Upon information and belief, Defendants maintain a broad distributorship network within the State of New Jersey and enjoy substantial income from sales of its generic pharmaceutical products in this State.

13. On information and belief, Apotex Corp. is a subsidiary of Apotex Inc. and is controlled and dominated by Apotex Inc. On information and belief, Apotex Inc. and Apotex

Corp. operate as part of a single, integrated generic pharmaceutical manufacturer with Apotex Inc. as the ultimate parent. Apotex's website states that Apotex is a "global pharmaceutical company that produces high-quality, affordable medicines (both generic and innovative pharmaceuticals) for patients around the world," that it "employ[s] more than 8,000 people worldwide in manufacturing, R&D and commercial operations," and "[t]hrough vertical integration, Apotex is comprised of multiple divisions and affiliates," including "Apotex Inc., focused on generics." Apotex Website, <https://www1.apotex.com/global/about-us/about-apotex> (last visited Jan. 24, 2022).

14. Upon information and belief, Apotex Corp. is registered as a wholesale drug distributor in the State of New Jersey under the Registration No. 5003192. Apotex Corp. has, therefore, purposefully availed itself of the rights, benefits, and privileges of New Jersey's laws.

15. On information and belief, Apotex Corp. and Apotex Inc. have been, and continue to be, joint and prime actors in the drafting, submission, approval, and maintenance of the Apotex ANDA.

16. This Court has personal jurisdiction over Defendants because, *inter alia*: (i) Apotex Inc., together with Apotex Corp., has committed, induced, or contributed to acts of patent infringement in New Jersey; (ii) Defendants are doing business in New Jersey and maintain continuous and systematic contacts with this Judicial District; (iii) Defendants directly or indirectly through agents regularly do or solicit business in New Jersey and/or derive substantial revenue from services or things used or consumed in New Jersey; (iv) Defendants transact business, perform work, and contract to supply services or products in New Jersey; (v) Defendants have availed themselves of the rights, benefits, and privileges of this Court by asserting counterclaims in multiple New Jersey actions (*see infra* paragraph 18); and (vi) Apotex

Corp. is registered as a wholesale drug distributor in the State of New Jersey under Registration No. 5003192.

17. Apotex Corp. and Apotex Inc.'s tortious acts of (i) preparing and filing ANDA No. 213369 with a paragraph IV certification to the '960 patent for the purpose of obtaining approval to engage in the commercial manufacture, use, sale, offer for sale, and/or importation into the United States of the Apotex Product before the expiration of the '960 patent; and (ii) directing notice of its ANDA submission to Plaintiff Supernus, are acts with real and injurious consequences giving rise to this infringement action, including the present and/or anticipated commercial manufacture, use, and/or sale of Apotex's ANDA Product before the expiration of the '960 patent throughout the United States, including in this Judicial District. Because defending against an infringement lawsuit such as this one is an inherent and expected part of a generic ANDA filer's business, Apotex Corp. and Apotex Inc. should reasonably anticipate being sued in New Jersey.

18. This Court also has personal jurisdiction over Apotex Inc. and Apotex Corp. because Apotex Inc. and Apotex Corp. have previously submitted to the jurisdiction of this Court and have previously availed themselves of this Court by initiating lawsuits, consenting to this Court's jurisdiction, and asserting counterclaims in other civil actions initiated in this jurisdiction. *See, e.g., Apotex Inc., et al. v. Pharmaceutical Resources, Inc., et al.*, Civil Action No. 06-01153 (JLL)(MF) (D.N.J.) (Apotex Corp. and Apotex Inc. filed a complaint for a declaratory judgment of no patent infringement); *Patheon Softgels Inc., et al. v. Apotex Inc., et al.*, Civil Action No. 17-13819 (MAS)(LHG) (D.N.J.) (Apotex Corp. and Apotex Inc. did not contest jurisdiction); *Dexcel Pharma Technologies Ltd., et al. v. Apotex Corp., et al.*, Civil Action No. 17-02423 (SDW)(LDW) (D.N.J.) (same); *Supernus Pharms. Inc. v. Apotex Inc., et*

*al.*, C.A. No. 20-7870 (FLW) (TJB) (same); *Boehringer Ingelheim Pharmaceuticals, Inc., et al. v. Apotex Inc., et al.*, Civil Action No. 18-11350 (MAS)(LHG) (D.N.J.) (Apotex Corp. and Apotex Inc. filed a counterclaim and did not contest jurisdiction); *Mitsubishi Tanabe Pharma Corp., et al. v. Apotex Inc., et al.*, Civil Action No. 17-05278 (RMB)(JS) (D.N.J.) (same); *AstraZeneca AB, et al. v. Apotex Corp., et al.*, Civil Action No. 15-08492 (FLW)(DEA) (D.N.J.) (same); *Apotex Inc. v. Shire LLC*, Civil Action No. 08-03598 (SRC)(MAS) (D.N.J.).

19. In the alternative, this Court has jurisdiction over Apotex Inc. because the requirements of Fed. R. Civ. P. 4(k)(2)(A) are met as (a) Supernus's claims arise under federal law; (b) Apotex Inc. is a foreign defendant not subject to general personal jurisdiction in the courts of any state; and (c) Apotex Inc. has sufficient contacts with the United States as a whole, including, but not limited to, preparing and submitting an ANDA to the FDA and/or manufacturing and/or selling pharmaceutical products distributed throughout the United States, such that this Court's exercise of jurisdiction over Apotex Inc. satisfies due process.

20. Upon information and belief, if ANDA No. 213369 is approved, Apotex's ANDA Product will be marketed and distributed by Defendants in the State of New Jersey, prescribed by physicians practicing in the State of New Jersey, dispensed by pharmacies located within the State of New Jersey, and used by patients in the State of New Jersey.

21. Venue is proper in this Judicial District under 28 U.S.C. §§ 1391(b) and 1391(c), and § 1400(b).

22. Venue is proper for Apotex Inc. under 28 U.S.C. §§ 1391 and/or 1400(b), because, *inter alia*, Apotex Inc. is subject to personal jurisdiction in this Judicial District, as set forth above, has committed an act of infringement and will commit further acts of infringement

in this Judicial District, as set forth above, and/or continuously transacts business in this Judicial District, as set forth above.

23. Venue is proper for Apotex Corp. under 28 U.S.C. §§ 1391 and/or 1400(b). As set forth above, Apotex Corp. has committed and will commit further acts of infringement in this Judicial District. In addition, Apotex Corp. does business in this Judicial District through a permanent and continuous presence in the State of New Jersey. For example, Apotex Corp. is registered with the State of New Jersey's Department of Health as a drug wholesaler under Registration No. 5003192 and continuously sells its products in this Judicial District. Upon information and belief, Apotex Corp. employs a salesforce that includes personnel that regularly and continuously work in this Judicial District and, if Apotex Corp. succeeds in obtaining FDA approval, Apotex Corp. will use its salesforce to sell the Apotex ANDA Product in the State of New Jersey.

24. Venue is proper for Apotex Inc. and Apotex Corp. because Apotex Inc. and Apotex Corp. have previously consented to venue in this Judicial District for a related case regarding ANDA No. 213369. *See Supernus Pharms. Inc. v. Apotex Inc., et al.*, C.A. No. 20-7870 (FLW) (TJB), ECF No. 12 (Apotex Corp. and Apotex Inc. stated that they are “not contesting venue”).

### **FACTS AS TO ALL COUNTS**

25. Supernus owns New Drug Application (“NDA”) No. 202810, which was approved by the FDA for the manufacture and sale of oxcarbazepine extended-release tablets, 150 mg, 300 mg, and 600 mg, which Supernus markets under the name Oxtellar XR<sup>®</sup>.

26. Oxtellar XR<sup>®</sup> is an antiepileptic drug indicated for: (i) adjunctive therapy in the treatment of partial seizures in adults; and (ii) adjunctive therapy in the treatment of partial seizures in children 6 to 17 years of age.

27. FDA’s publication titled, “Approved Drug Products with Therapeutic Equivalence Evaluations” (commonly known as the “Orange Book”) lists ten (10) patents as covering Supernus’s Oxtellar XR<sup>®</sup>. Pursuant to 21 U.S.C. §§ 355(b)(1) and 355(c)(2), these ten (10) patents were submitted to FDA with or after the approval of NDA No. 202810.

28. 21 U.S.C. § 355(j)(2)(B)(iv)(II) requires that a letter notifying a patent holder of the filing of an ANDA containing a paragraph IV certification “include a detailed statement of the factual and legal basis of the opinion of the applicant that the patent is invalid or will not be infringed.” Likewise, 21 C.F.R. § 314.95(c)(7) requires that such a letter include “[a] detailed statement of the factual and legal basis of the applicant’s opinion that the patent is not valid, unenforceable, or will not be infringed.” The detailed statement must include “(i) [f]or each claim of a patent alleged not to be infringed, a full and detailed explanation of why the claim is not infringed” and “(ii) [f]or each claim of a patent alleged to be invalid or unenforceable, a full and detailed explanation of the grounds supporting the allegation.” 21 C.F.R. § 314.95(c)(7)(i)-(ii).

29. On or about May 13, 2020, Apotex sent a letter purportedly pursuant to § 505(j)(2)(B)(iv) of the FDCA and 21 C.F.R. §§ 314.94, 314.95 regarding ANDA No. 213369. In this letter, Apotex states that Apotex’s ANDA has been submitted under 505(j) of the FDCA, with paragraph IV certifications to obtain approval to engage in the commercial manufacture, use, or sale of the Apotex Product, before the expiration of U.S. Patent Nos. 7,722,898 (“the ’898 patent”), 7,910,131 (“the ’131 patent”), 8,617,600 (“the ’600 patent”), 8,821,930 (“the ’930 patent”), 9,119,791 (“the ’791 patent”), 9,351,975 (“the ’975 patent”), 9,370,525 (“the ’525 patent”), 9,855,278 (“the ’278 patent”), and 10,220,042 (“the ’042 patent”). The ’898, ’131, ’600, ’930, ’791, ’975, ’525, ’278, and ’042 are nine (9) of the ten (10) patents listed in FDA’s



Orange Book as covering Oxtellar XR®. Supernus filed a complaint against Apotex Inc. and Apotex Corp. in this Court on June 26, 2020. *Supernus Pharms. Inc. v. Apotex Inc., et al.*, C.A. No. 20-7870 (FLW) (TJB), ECF No. 1.

30. On or about December 10, 2021, Apotex sent a letter purportedly pursuant to § 505(j)(2)(B)(iv) of the FDCA and 21 C.F.R. §§ 314.94, 314.95 regarding ANDA No. 213369 (the “December 10 Notice Letter”). In this letter, Apotex states that Apotex’s ANDA has been submitted under 505(j) of the FDCA, with a paragraph IV certification to obtain approval to engage in the commercial manufacture, use, or sale of the Apotex Product, before the expiration of the ’960 patent.

31. The ’960 patent, entitled, “Modified Release Preparations Containing Oxcarbazepine and Derivatives Thereof” was duly and legally issued by the United States Patent and Trademark Office on November 9, 2021, to Supernus upon assignment from inventors Padmanabh P. Bhatt, Argaw Kidane, and Kevin Edwards. Supernus owns all rights, title, and interest in the ’960 patent.

32. Upon information and belief, Defendants worked in concert to prepare, submit, and file the Apotex ANDA with the FDA under § 505(j) of the Federal Food, Drug, and Cosmetic Act (“FDCA”) (codified at 21 U.S.C. § 355(j)), seeking approval to engage in the commercial manufacture, use, sale, offer for sale, and/or importation of the Apotex Product and included a “paragraph IV” certification seeking approval before the expiration of the ’960 patent.

33. The December 10 Notice Letter contends that the Apotex Product does not infringe independent claim 1 of the ’960 patent. The December Notice Letter does not include any non-infringement contentions unique to claims 2-6 of the ’960 patent.

34. The December 10 Notice Letter does not include any detailed statement of the factual and legal basis for Defendants' opinion that the '960 patent is unenforceable.

35. The December 10 Notice Letter does not include any detailed statement of the factual and legal basis for Defendants' opinion that the '960 patent is invalid beyond a vague and unsupported contention that the '960 patent is invalid as "indefinite" and "for lacking enablement and written description."

36. The December 10 Notice Letter does not contend that the '960 patent is invalid as anticipated or obvious. In fact, the December 10 Notice Letter does not include any prior-art based invalidity contentions based on 35 U.S.C. § 102 or 35 U.S.C. § 103.

37. The December 10 Notice Letter does not include any description of the composition, formulation, ingredients, development, manufacture, or testing of the Apotex Product beyond a vague and unsupported statement that the Apotex Product "includes neither a release promoting agent nor an enteric polymer" in certain claims of the '960 patent. Although the parties did not reach agreement on mutually acceptable terms for an Offer of Confidential Access pursuant to 21 U.S.C. § 355(j)(5)(C) and 21 C.F.R. § 314.95(c)(8), the parties agreed that the Discovery Confidentiality Order entered in *Supernus Pharms. Inc. v. Apotex Inc., et al.*, C.A. No. 20-7870 (FLW) (TJB), ECF No. 35, would control Supernus's "access to and use of [Apotex's] ANDA for purposes of evaluating whether an action for infringement with respect to the '960 patent should be brought." 1/4/22 Email from J. Janusz to N. Giove.

**FIRST COUNT**

(Defendants' Infringement of the '960 Patent)

38. Plaintiff repeats and re-alleges each of the foregoing Paragraphs as if fully set forth herein.

39. Upon information and belief, Defendants seek FDA approval for the manufacture, use, marketing, sale, and/or distribution of the Apotex Product.

40. Upon information and belief, Defendants included a paragraph IV certification to the '960 patent to obtain approval to engage in the commercial manufacture, use, sale, offer for sale, and/or importation of the Apotex Product before the expiration of the '960 patent.

41. Upon information and belief, Defendants will commercially manufacture, use, sell, offer for sale, and/or import the Apotex Product upon, or in anticipation of, FDA approval.

42. The submission and filing of ANDA No. 213369 with a paragraph IV certification to the '960 patent for the purpose of obtaining approval to engage in the commercial manufacture, use, sale, offer for sale, and/or importation into the United States of the Apotex Product before the expiration of the '960 patent is an act of infringement by Defendants of one or more claims of the '960 patent under 35 U.S.C. § 271 *et seq.*, including under 35 U.S.C. § 271(e)(2)(A).

43. Defendants' commercial manufacture, use, sale, offer for sale, and/or importation into the United States of the Apotex Product that is the subject of ANDA No. 213369 will infringe, directly and/or indirectly, one or more claims of the '960 patent under 35 U.S.C. § 271 *et seq.*, including under 35 U.S.C. § 271(a), 35 U.S.C. § 271(b), and/or 35 U.S.C. § 271(c).

44. Upon information and belief, Defendants' offering for sale and/or sale of the Apotex Product will induce and/or contribute to third-party infringement of one or more claims of the '960 patent under 35 U.S.C. § 271.

45. Defendants' infringement of the '960 patent has caused and will cause Supernus to suffer irreparable harm. Defendants' infringement will continue unless enjoined by the

Court. Supernus has no adequate remedy at law and thus preliminary and permanent injunctions are appropriate to prohibit Defendants from infringing the '960 patent.

46. As of the date of the December 10 Notice Letter, Defendants were aware of the existence of the '960 patent—as well as the statutory provisions and regulations set forth in 21 U.S.C. § 355 and 21 C.F.R. § 314.95—and acted without a reasonable basis for believing that they would not be liable for infringement of the '960 patent, thus rendering this case “exceptional” under 35 U.S.C. § 285.

### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiff respectfully requests the following relief:

- i. A Judgment declaring that the '960 patent is valid and enforceable;
- ii. A Judgment declaring that, pursuant to 35 U.S.C. § 271(e)(2)(A), the submission to the FDA and filing of ANDA No. 213369 with a paragraph IV certification to obtain approval for the commercial manufacture, use, sale, offer for sale, and/or importation into the United States of the Apotex Product was an act of infringement of the '960 patent by Defendants;
- iii. A Judgment declaring that, pursuant to 35 U.S.C. § 271(e)(2)(A), 35 U.S.C. § 271(a), 35 U.S.C. § 271(b), and/or 35 U.S.C. § 271(c), the commercial manufacture, use, sale, offer for sale, and/or importation into the United States of the Apotex Product prior to the expiration of the '960 patent, including any regulatory extensions, will constitute an act of infringement by Defendants;
- iv. An Order that, pursuant to 35 U.S.C. §§ 271(e)(4)(A), 281, and 283, the effective date of any approval of the Apotex Product shall be no earlier than the date on which the '960 patent expires, including any regulatory extensions;

- v. A Judgment pursuant to 35 U.S.C. §§ 271(e)(4)(B), 281, and 283, preliminarily and permanently enjoining Defendants and their officers, agents, servants, employees, and attorneys, and those persons in active concert or participation or privity with them or any of them, from engaging in the commercial manufacture, use, sale, offer for sale, and/or importation in the United States of the product that is the subject of ANDA No. 213369 until the expiration of the '960 patent, including any regulatory extensions;
- vi. A Judgment awarding Supernus damages or other monetary relief, pursuant to 35 U.S.C. §§ 271(e)(4)(C) and 284, if Defendants commercially manufacture, use, sell, offer to sell, and/or import any product that is the subject of ANDA No. 213369 that infringes the '960 patent;
- vii. A Judgment declaring that infringement of the '960 patent is willful if Defendants commercially manufacture, use, sell, offer to sell, and/or import any product that is the subject of ANDA No. 213369 that infringes the '960 patent;
- viii. A Judgment declaring that, pursuant to 35 U.S.C. § 285, this is an exceptional case and awarding Supernus its attorneys' fees and costs; and
- ix. Such other and further relief as this Court may deem just and proper.

Dated: January 24, 2022

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**CERTIFICATION PURSUANT TO LOCAL CIVIL RULES 11.2 & 40.1**

Pursuant to Local Civil Rules 11.2 and 40.1, I hereby certify that the matter captioned *Supernus Pharm. Inc. v. Apotex Inc., et al.*, C.A. No. 20-7870 (FLW) (TJB) is related to the matter in controversy because the matter in controversy involves the same plaintiff, the same defendants, and because Defendants are seeking FDA approval to market generic versions of the same pharmaceutical product.

I further certify that, to the best of my knowledge, the matter in controversy is not the subject of any other action pending in any court, or of any pending arbitration or administrative proceeding.

Dated: January 24, 2022

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# Exhibit A





US011166960B2

(12) **United States Patent**  
**Bhatt et al.**

(10) **Patent No.:** **US 11,166,960 B2**

(45) **Date of Patent:** **\*Nov. 9, 2021**

(54) **MODIFIED RELEASE PREPARATIONS  
CONTAINING OXCARBAZEPINE AND  
DERIVATIVES THEREOF**

(71) Applicant: **Supernus Pharmaceuticals, Inc.,**  
Rockville, MD (US)

(72) Inventors: **Padmanabh P. Bhatt**, Rockville, MD  
(US); **Argaw Kidane**, Montgomery  
Village, MD (US); **Kevin Edwards**,  
Lovettsville, VA (US)

(73) Assignee: **Supernus Pharmaceuticals, Inc.,**  
Rockville, MD (US)

(\*) Notice: Subject to any disclaimer, the term of this  
patent is extended or adjusted under 35  
U.S.C. 154(b) by 0 days.

This patent is subject to a terminal dis-  
claimer.

(21) Appl. No.: **17/238,796**

(22) Filed: **Apr. 23, 2021**

(65) **Prior Publication Data**

US 2021/0236510 A1 Aug. 5, 2021

#### Related U.S. Application Data

(60) Continuation of application No. 17/081,383, filed on  
Oct. 27, 2020, which is a continuation of application  
(Continued)

(51) **Int. Cl.**  
**A61K 9/00** (2006.01)  
**A61K 31/55** (2006.01)  
**A61K 9/20** (2006.01)

(52) **U.S. Cl.**

CPC ..... **A61K 31/55** (2013.01); **A61K 9/0073**  
(2013.01); **A61K 9/205** (2013.01); **A61K**  
**9/2013** (2013.01);

(Continued)

(58) **Field of Classification Search**

CPC ..... **A61K 31/55**  
See application file for complete search history.

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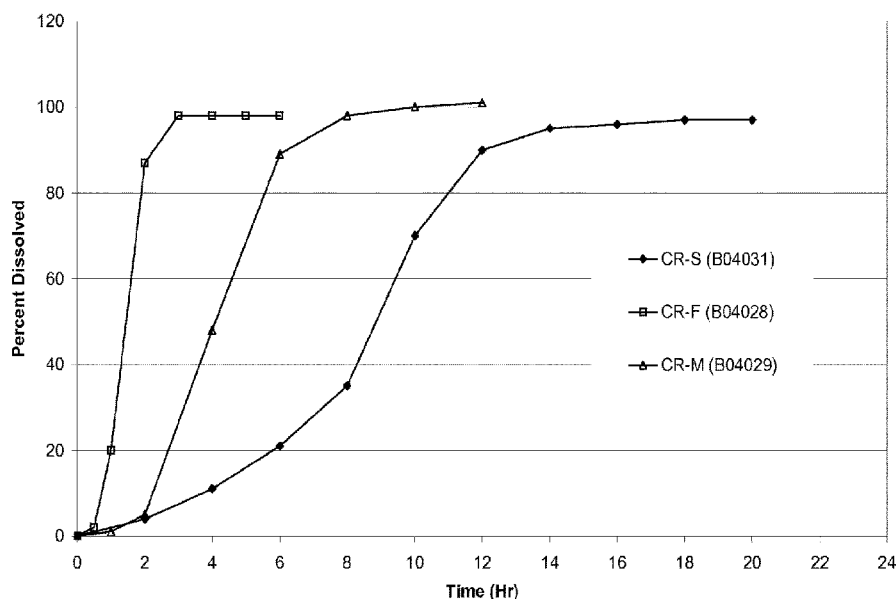
*Primary Examiner* — Paul W Dickinson

(74) *Attorney, Agent, or Firm* — Foley & Lardner LLP

(57) **ABSTRACT**

Controlled-release preparations of oxcarbazepine and derivatives thereof for once-a-day administration are disclosed. The inventive compositions comprise solubility- and/or release enhancing agents to provide tailored drug release profiles, preferably sigmoidal release profiles. Methods of treatment comprising the inventive compositions are also disclosed.

**6 Claims, 14 Drawing Sheets**



## US 11,166,960 B2

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## Related U.S. Application Data

- No. 16/252,106, filed on Jan. 18, 2019, which is a continuation of application No. 15/834,401, filed on Dec. 7, 2017, now Pat. No. 10,220,042, which is a continuation of application No. 15/166,816, filed on May 27, 2016, now Pat. No. 9,855,278, which is a continuation of application No. 14/836,179, filed on Aug. 26, 2015, now Pat. No. 9,351,975, which is a continuation of application No. 14/445,233, filed on Jul. 29, 2014, now Pat. No. 9,119,791, which is a continuation of application No. 14/103,103, filed on Dec. 11, 2013, now Pat. No. 8,821,930, which is a continuation of application No. 13/476,337, filed on May 21, 2012, now Pat. No. 8,617,600, which is a continuation of application No. 13/137,382, filed on Aug. 10, 2011, now Pat. No. 8,211,464, which is a division of application No. 12/230,275, filed on Aug. 27, 2008, now Pat. No. 8,017,149, which is a continuation of application No. 11/734,874, filed on Apr. 13, 2007, now Pat. No. 7,722,898.
- (60) Provisional application No. 60/794,837, filed on Apr. 26, 2006.
- (52) **U.S. Cl.**  
CPC ..... *A61K 9/2027* (2013.01); *A61K 9/2031* (2013.01); *A61K 9/2054* (2013.01); *A61K 2800/56* (2013.01)

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FIGURE 1

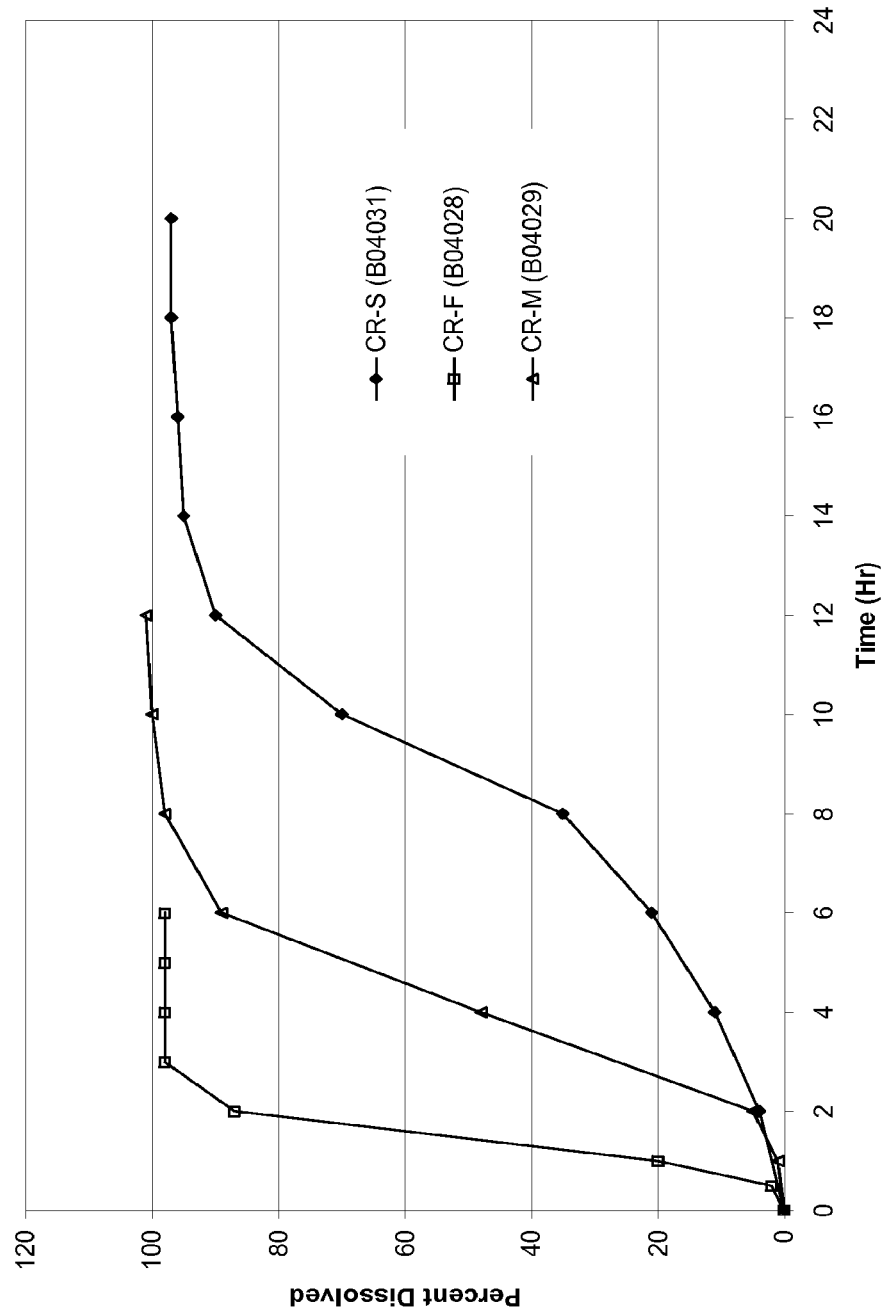


FIGURE 2

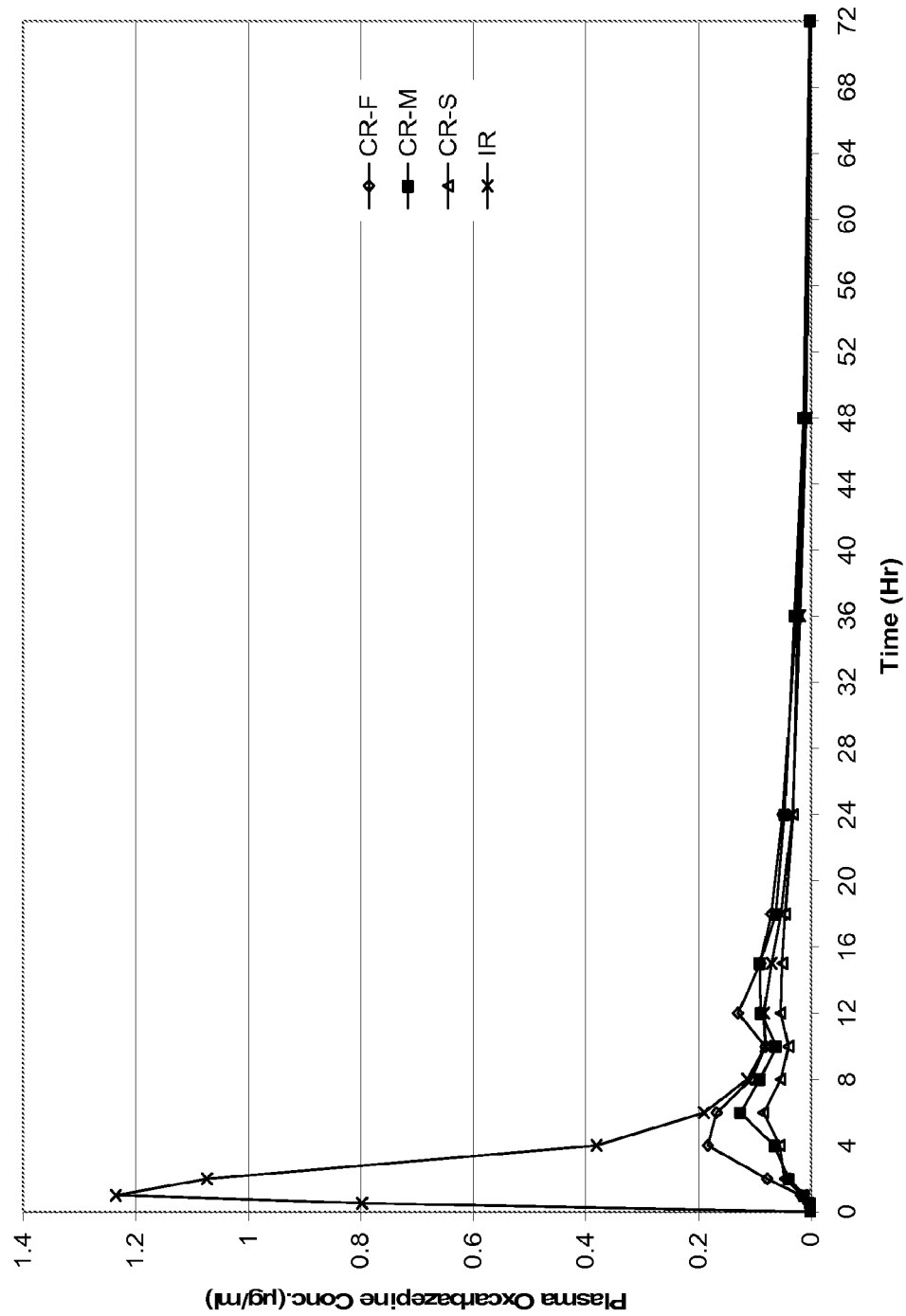


FIGURE 3

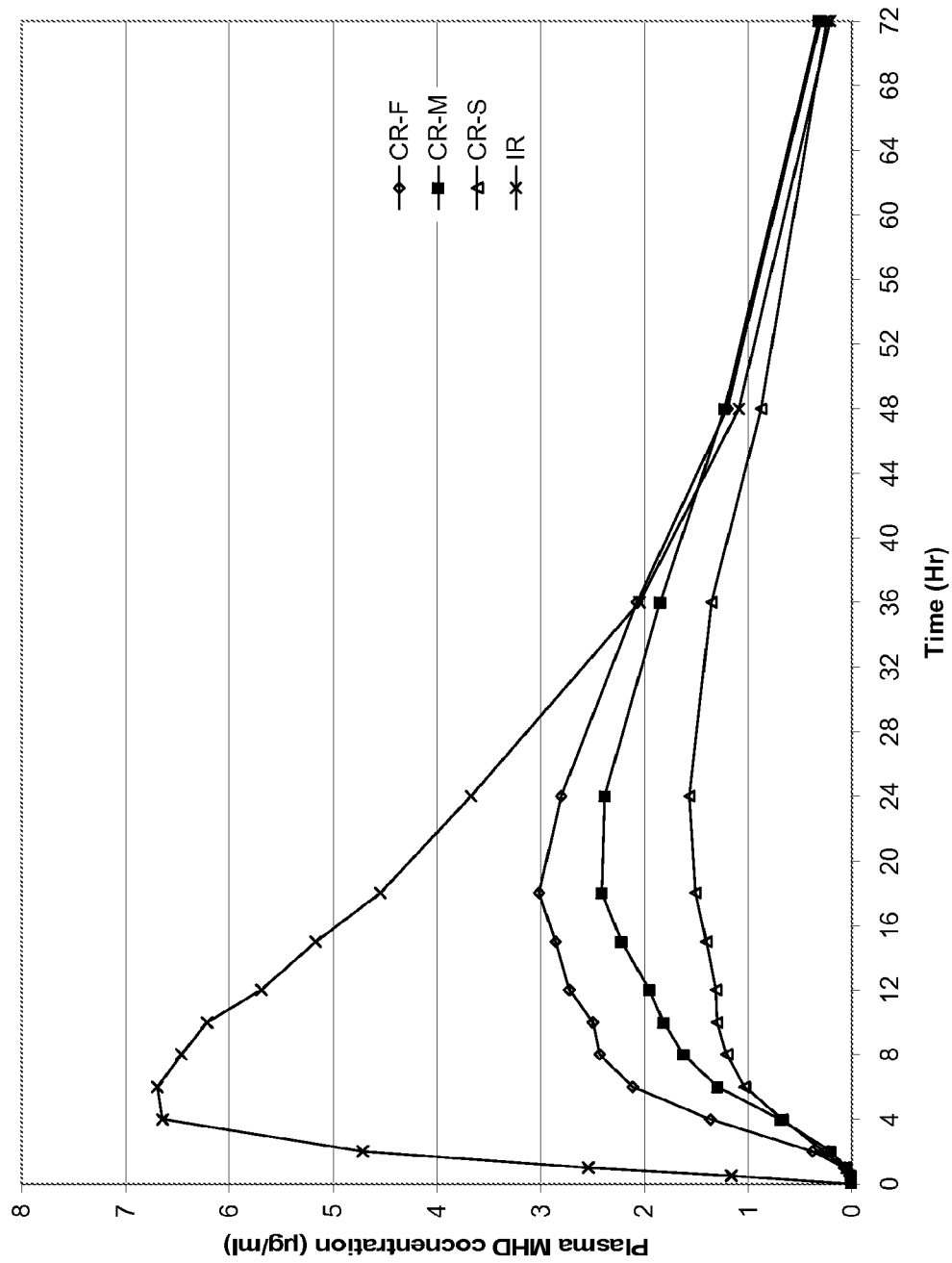


FIGURE 4

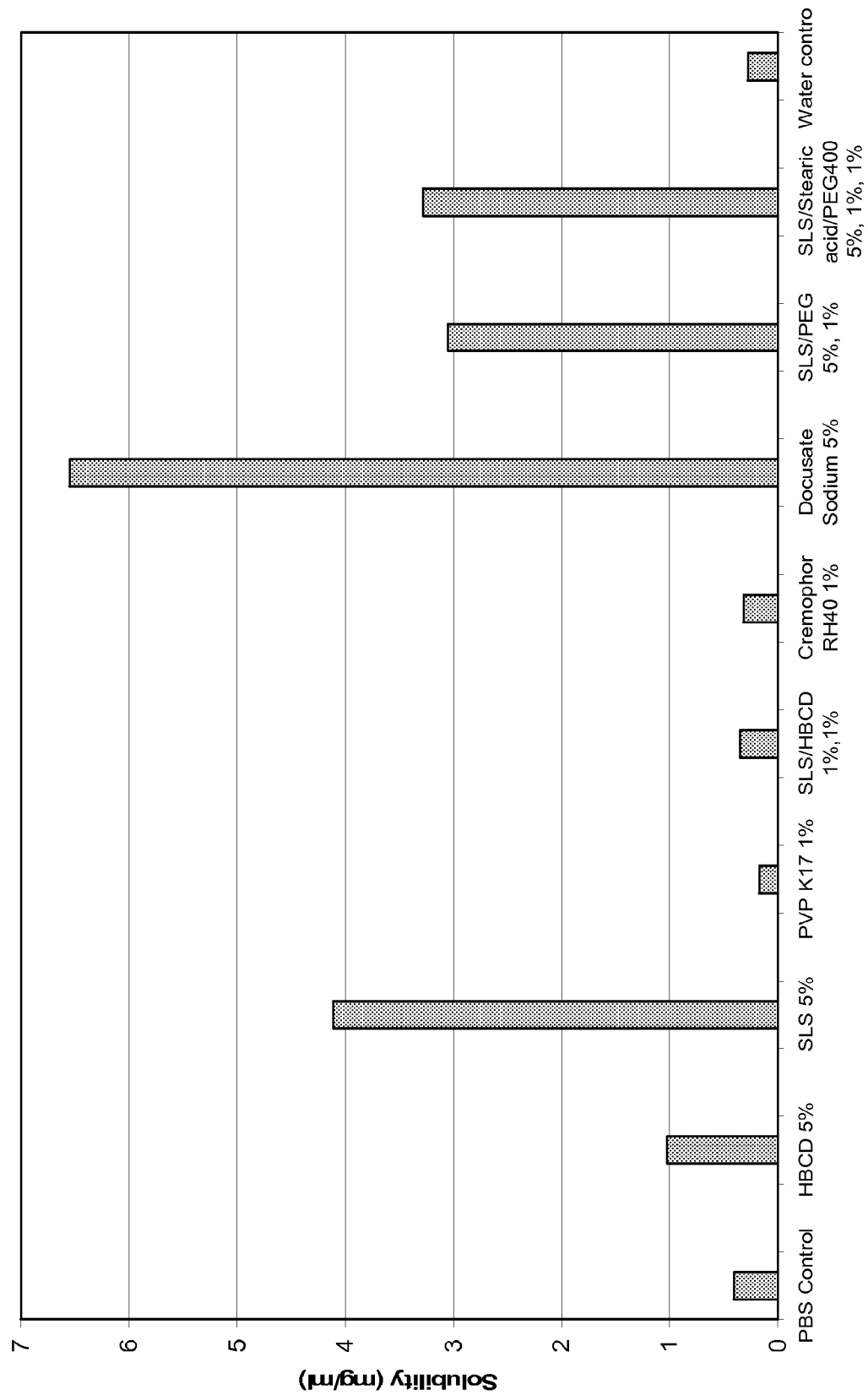


FIGURE 5

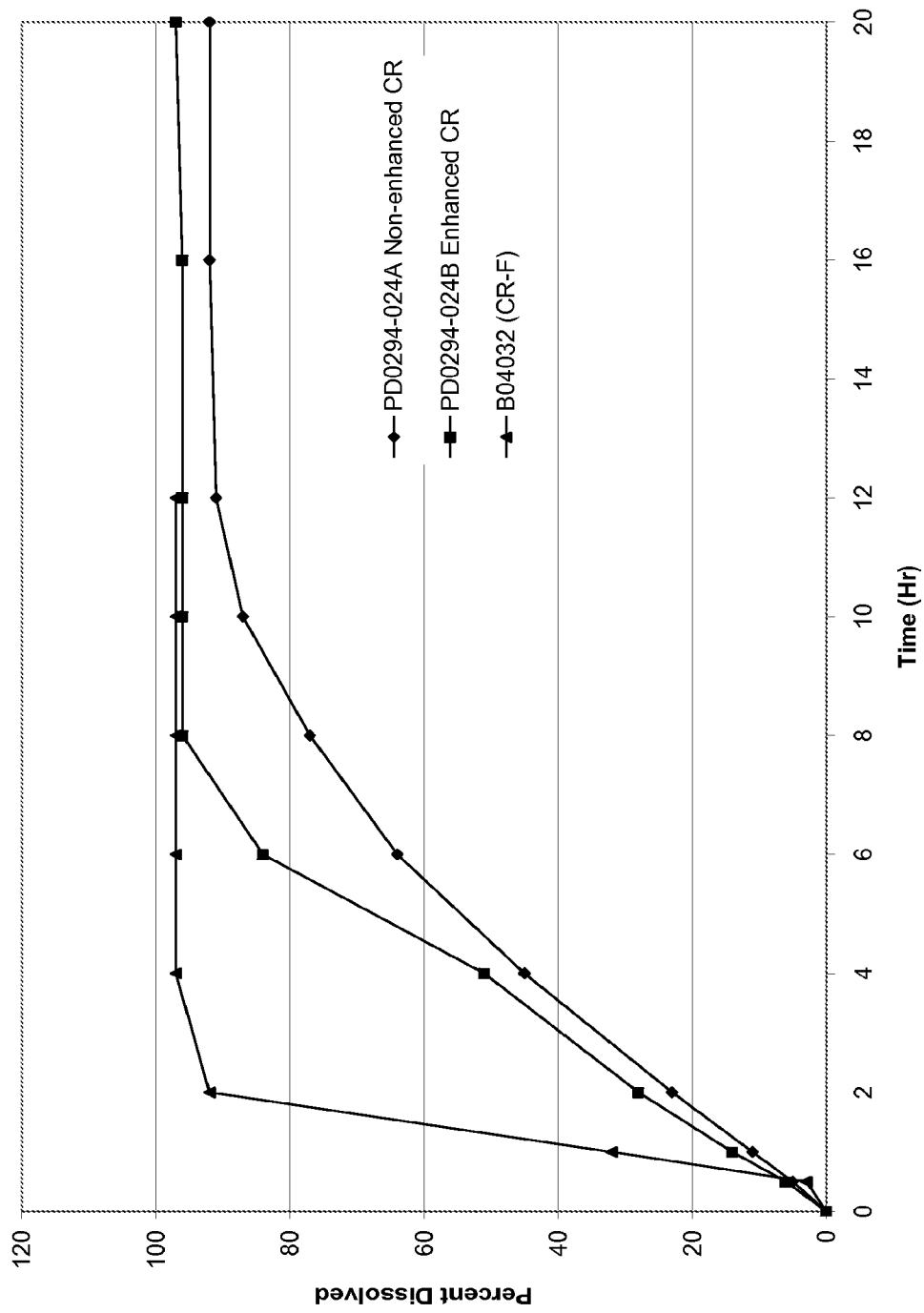




FIGURE 6

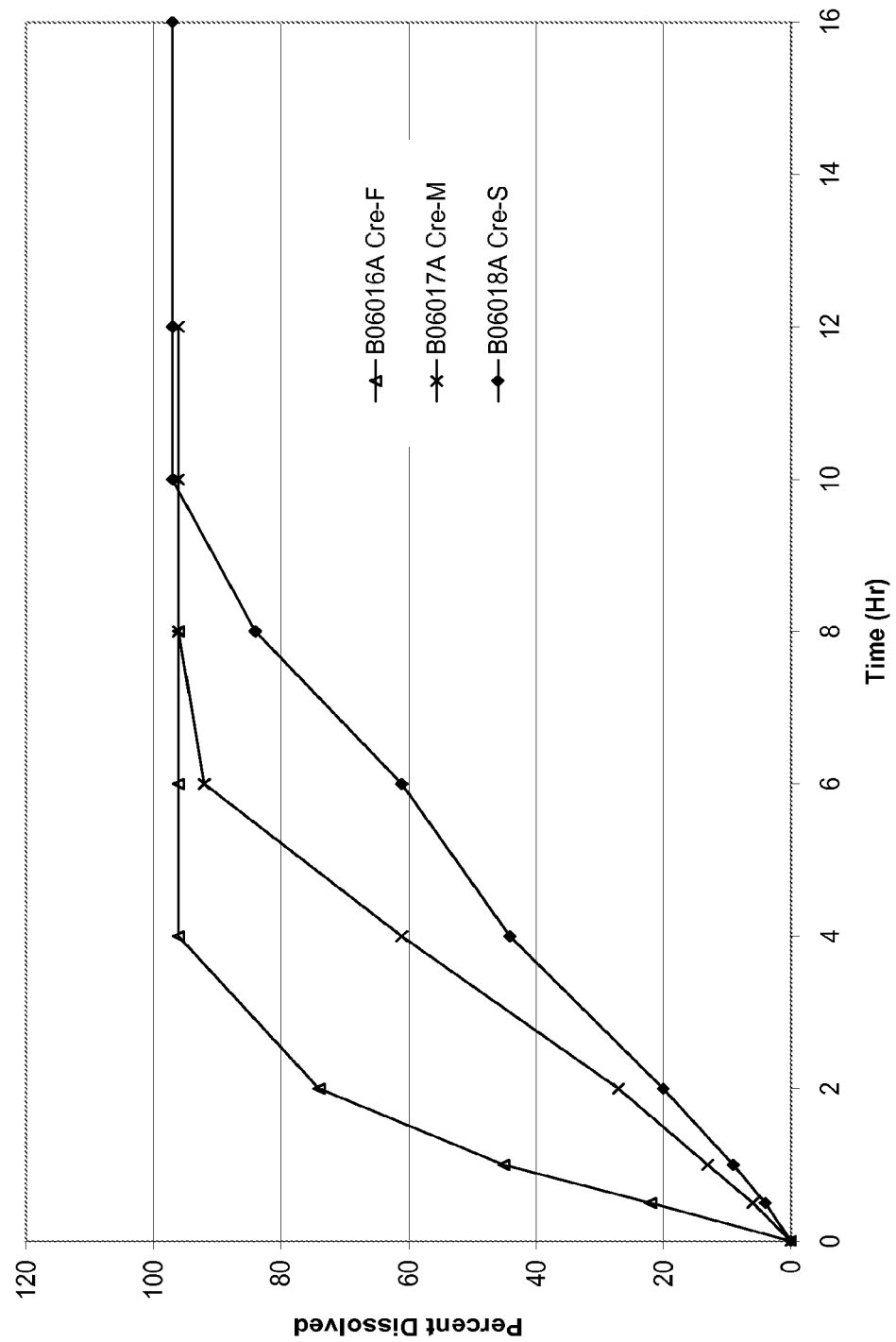


FIGURE 7

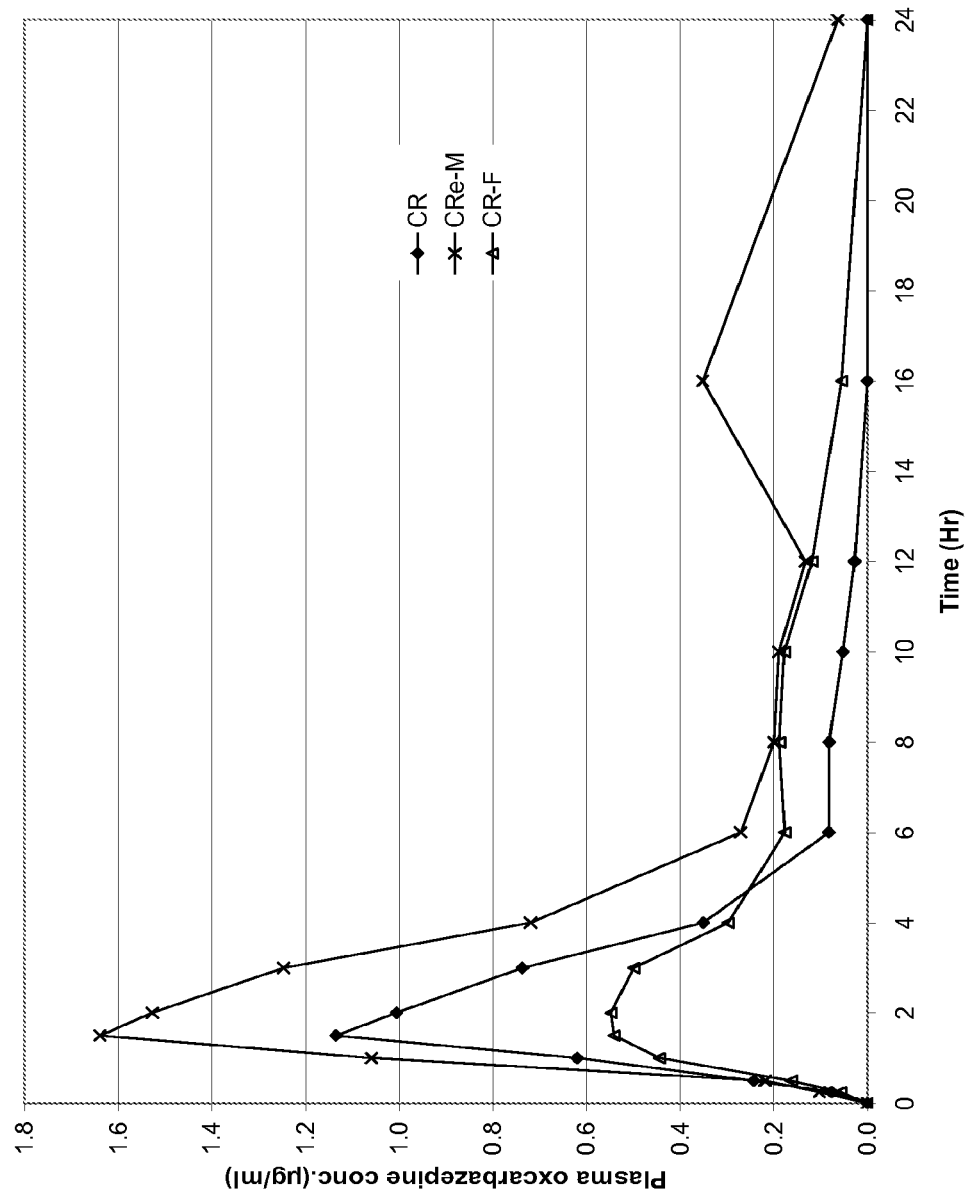


FIGURE 8

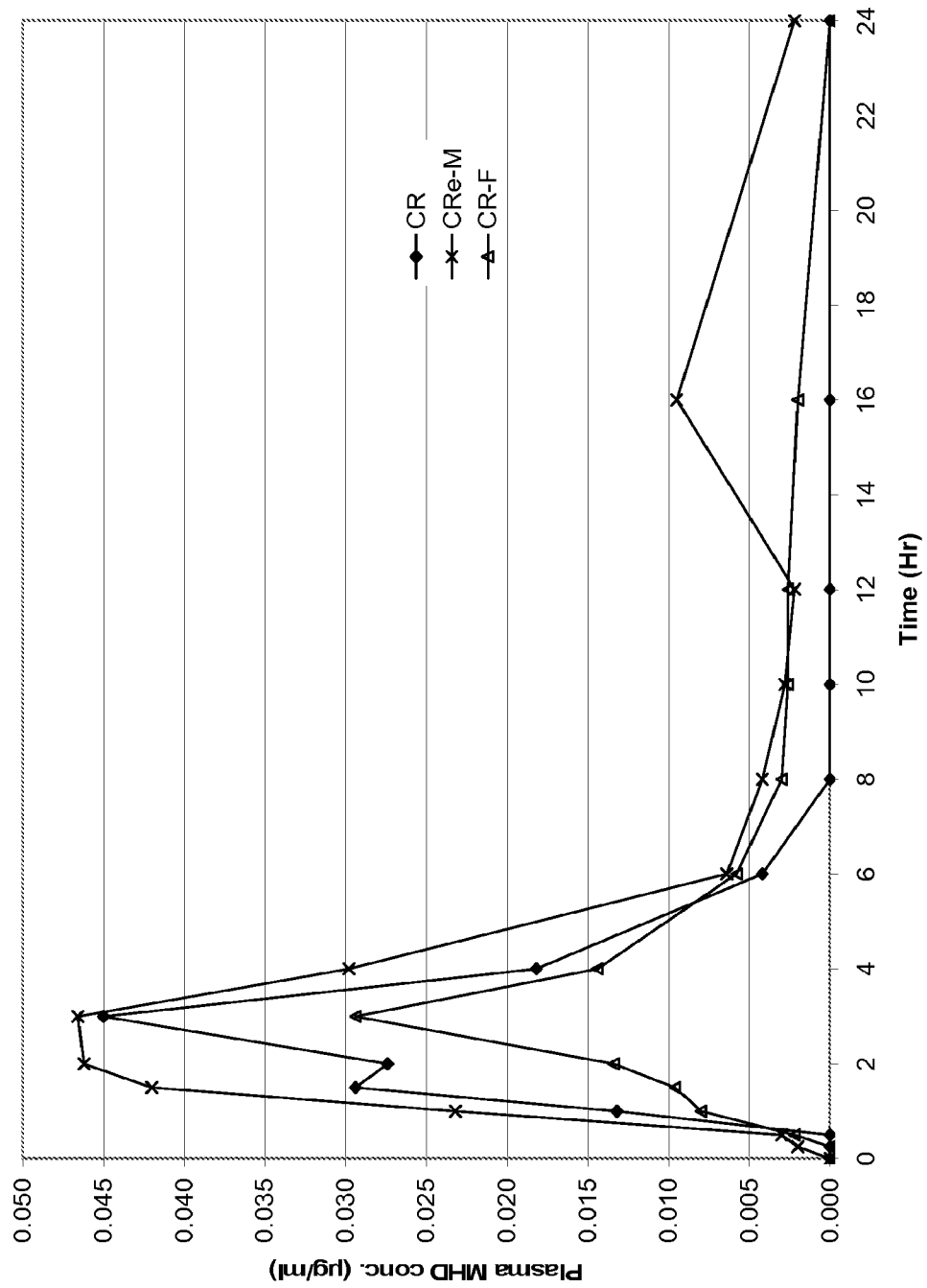


FIGURE 9

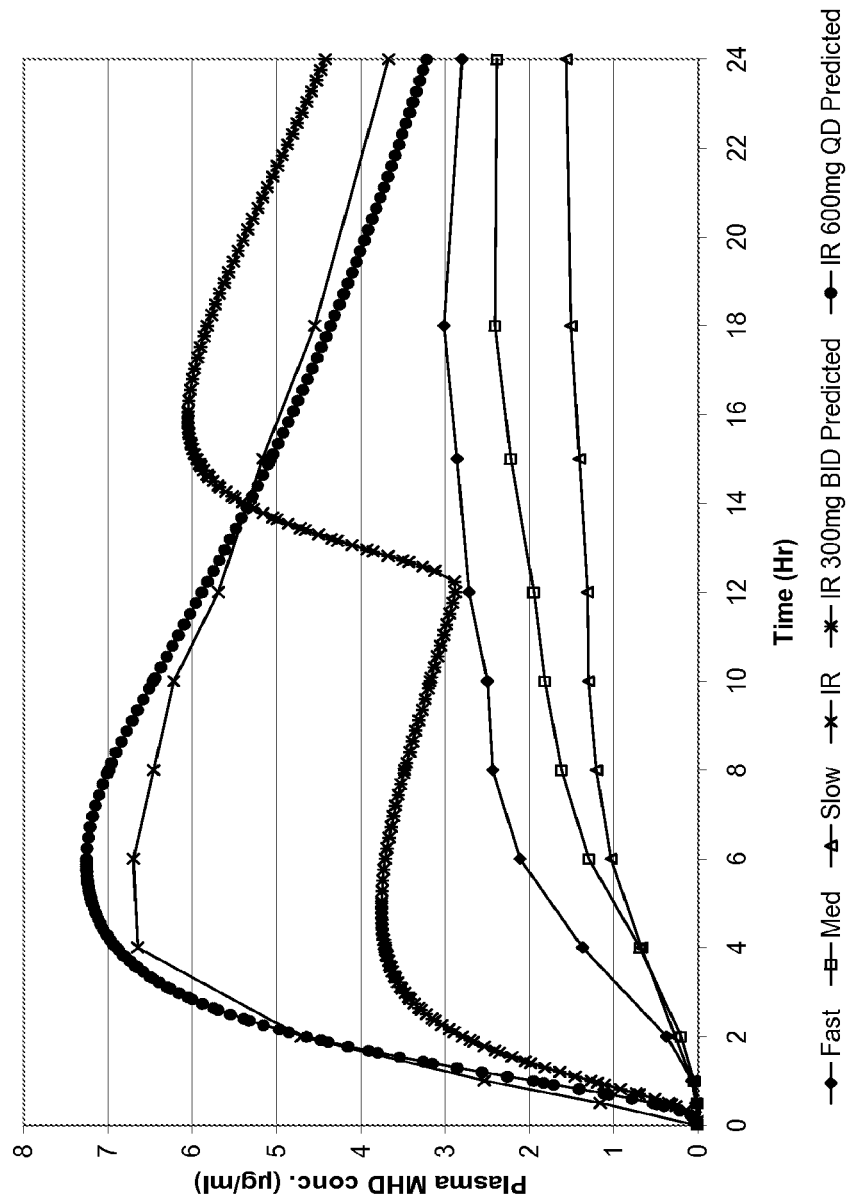


FIGURE 10

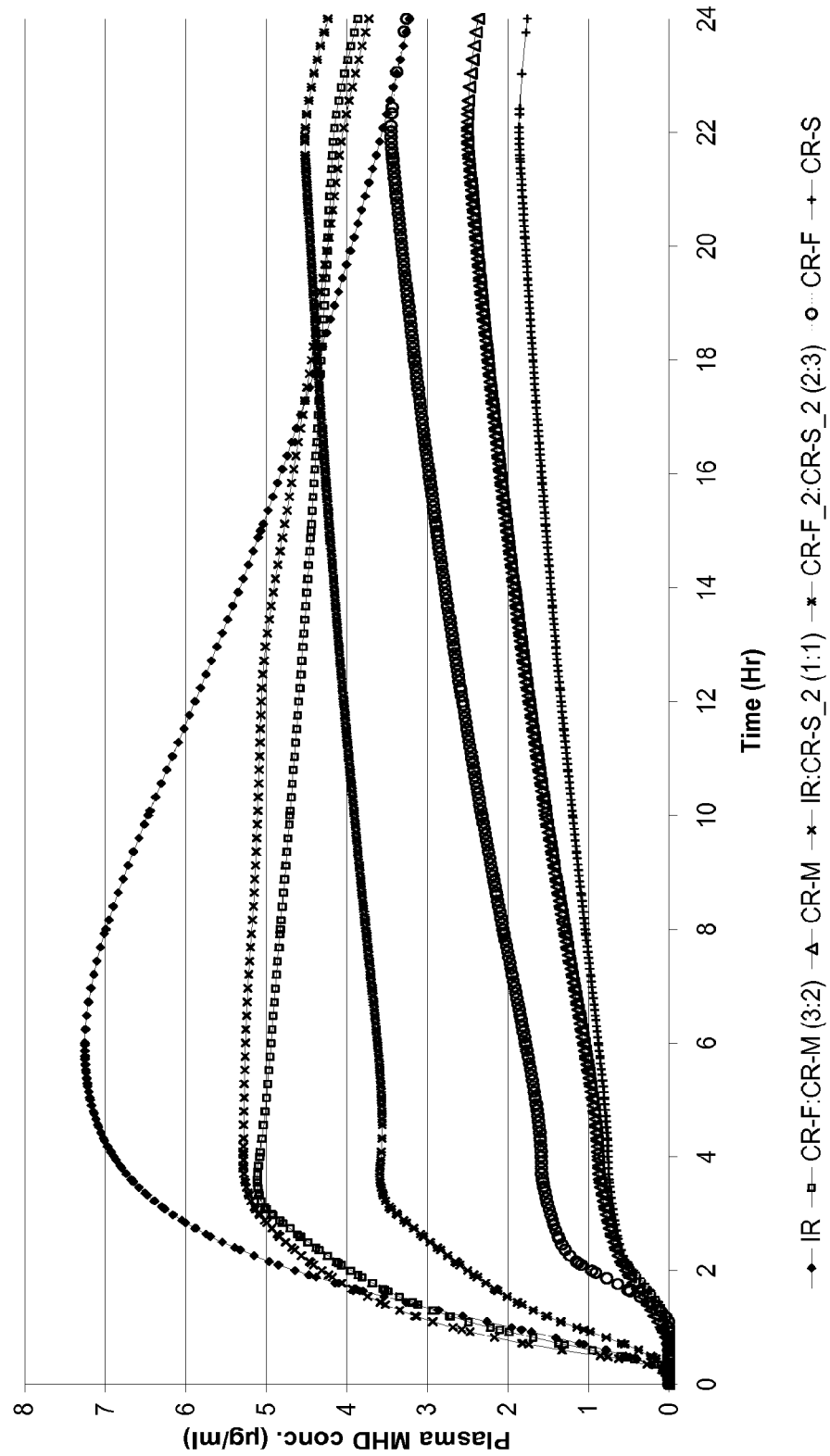


FIGURE 11

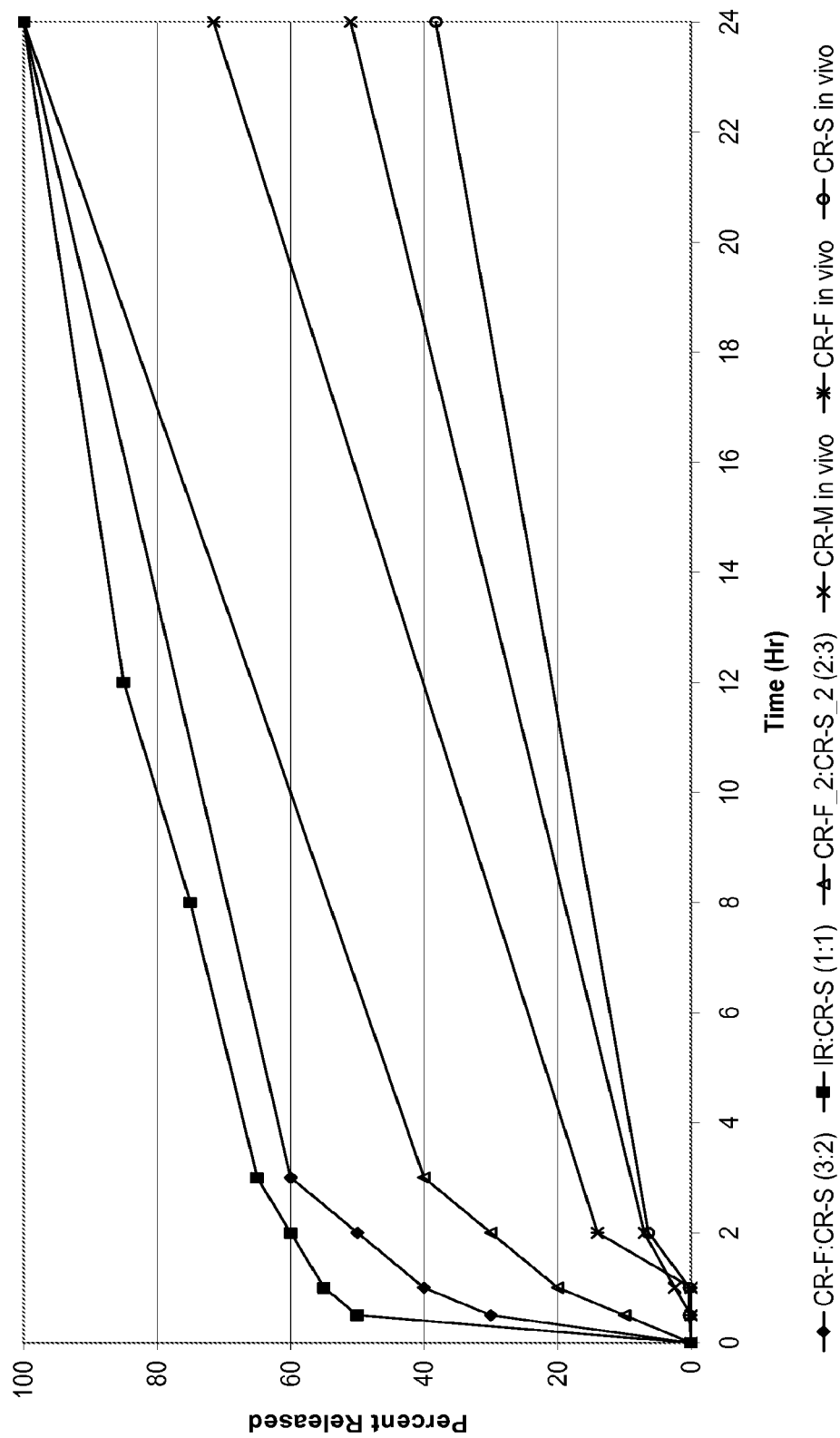


FIGURE 12

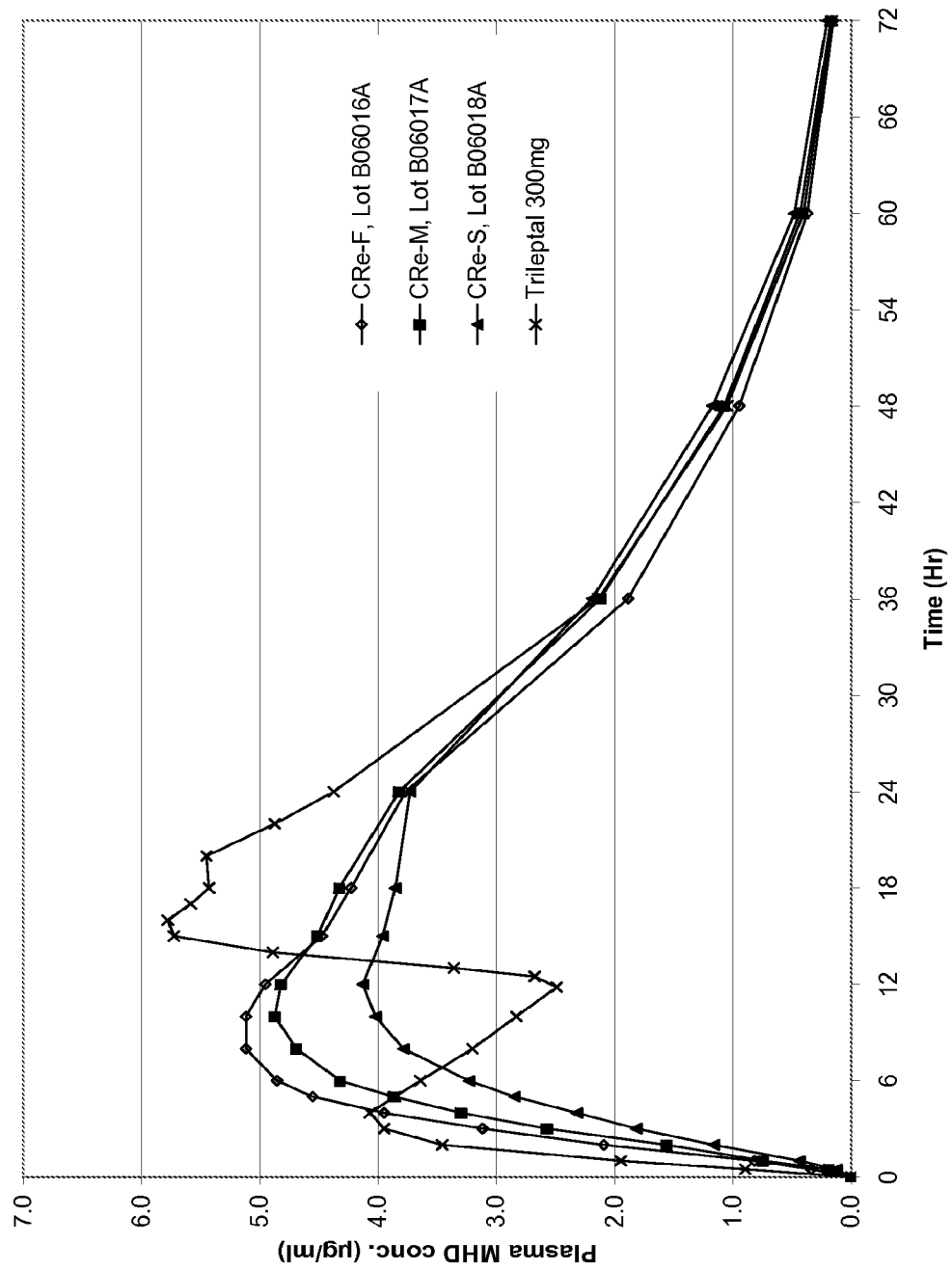


FIGURE 13

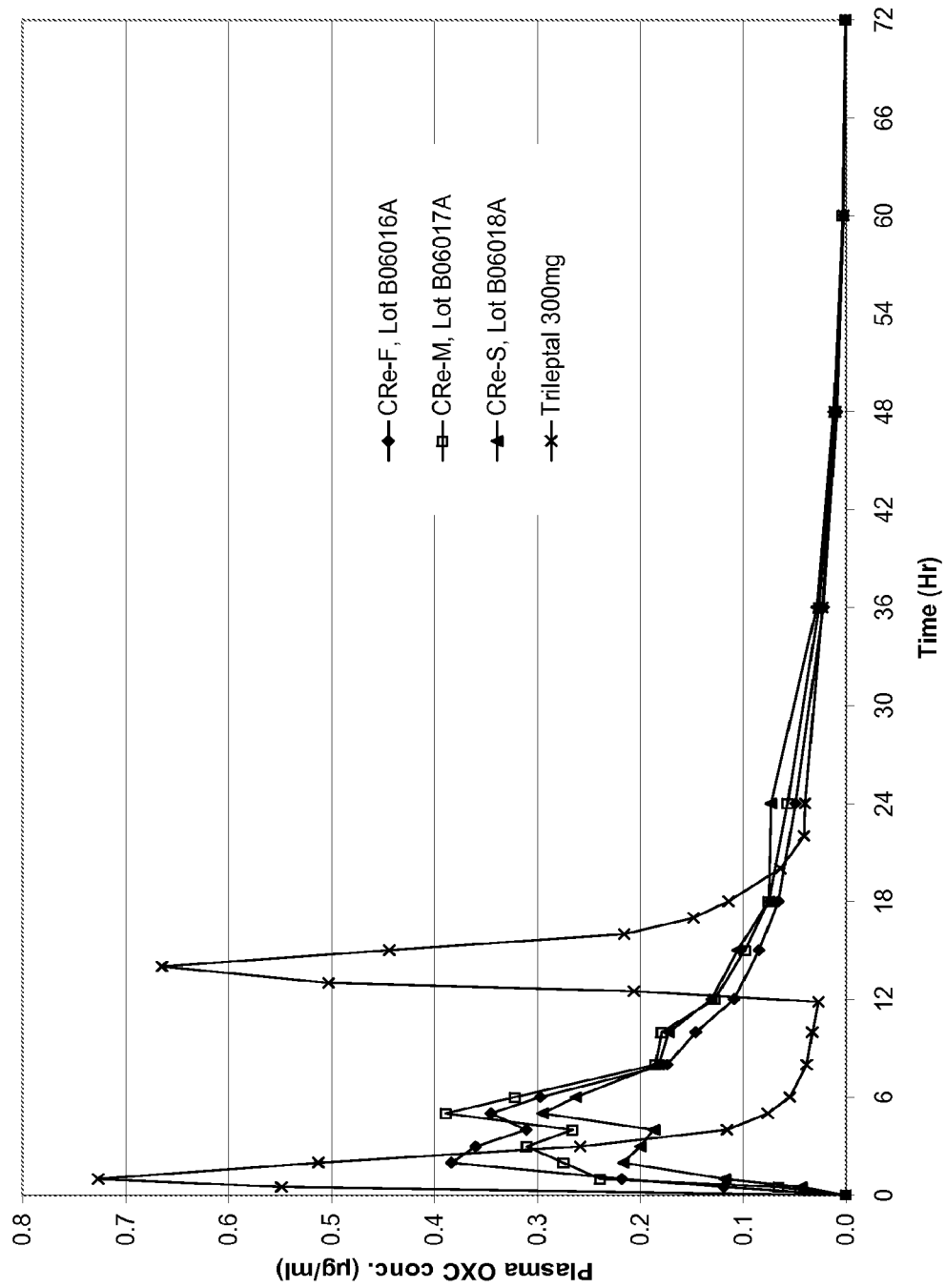
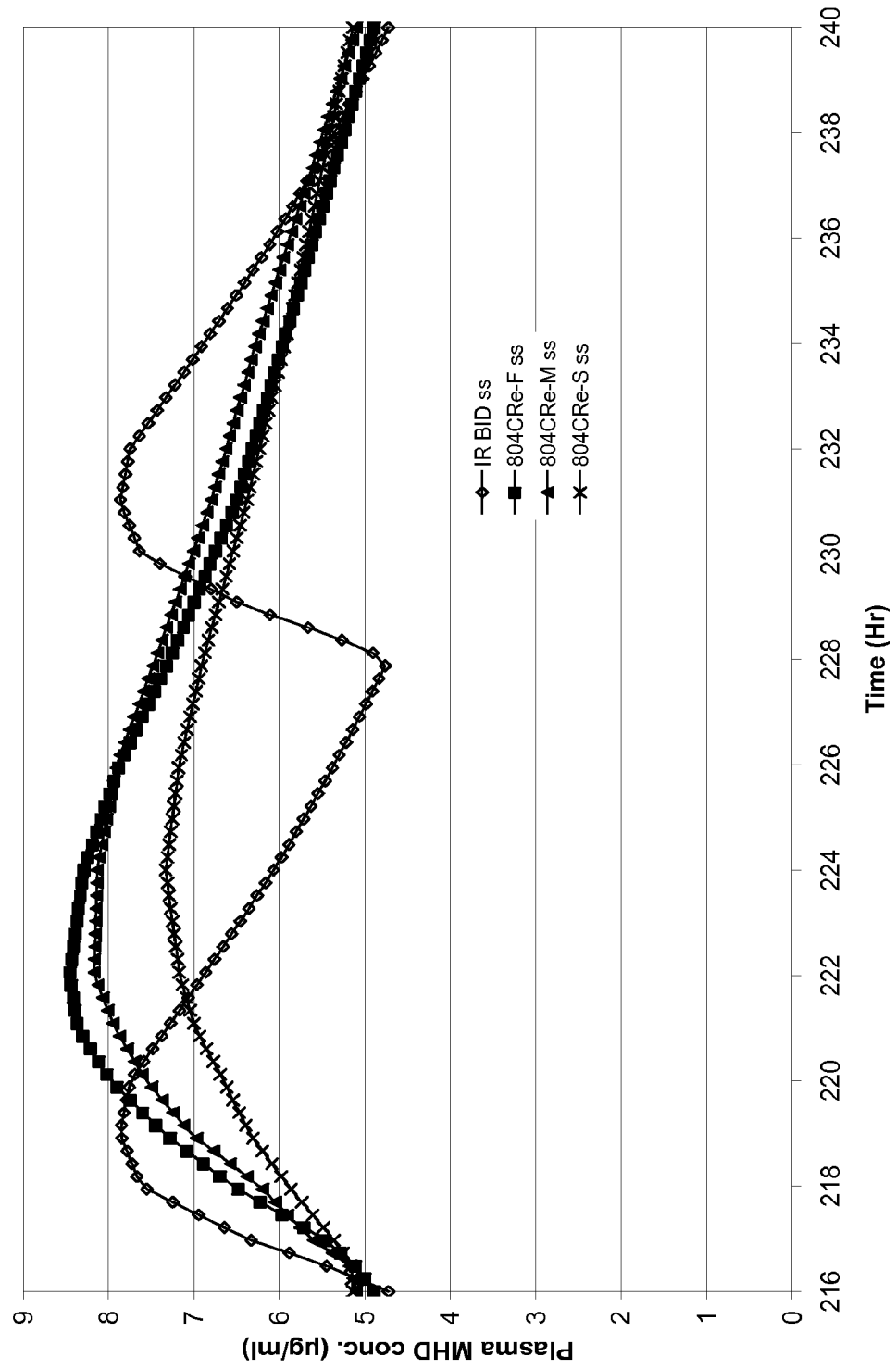




FIGURE 14



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## MODIFIED RELEASE PREPARATIONS CONTAINING OXCARBAZEPINE AND DERIVATIVES THEREOF

### CROSS REFERENCE TO RELATED APPLICATIONS

This application is a Continuation of U.S. application Ser. No. 17/081,383, filed Oct. 27, 2020, which is a Continuation of U.S. application Ser. No. 16/252,106, filed Jan. 18, 2019, which is a Continuation of U.S. application Ser. No. 15/834,401, filed Dec. 7, 2017, now U.S. Pat. No. 10,221,042, which is a Continuation of U.S. application Ser. No. 15/166,816, filed May 27, 2016, now U.S. Pat. No. 9,855,278, which is a Continuation of U.S. application Ser. No. 14/836,179, filed Aug. 26, 2015, now U.S. Pat. No. 9,351,975, which is a Continuation of U.S. application Ser. No. 14/445,233, filed Jul. 29, 2014, now U.S. Pat. No. 9,119,791, which is a Continuation of U.S. application Ser. No. 14/103,103, filed Dec. 11, 2013, now U.S. Pat. No. 8,821,930, which is a Continuation of U.S. application Ser. No. 13/476,337, filed May 21, 2012, now U.S. Pat. No. 8,617,600, which is a Continuation of U.S. application Ser. No. 13/137,382, filed Aug. 10, 2011, now U.S. Pat. No. 8,211,464, which is a Divisional of U.S. application Ser. No. 12/230,275, filed Aug. 27, 2008, now U.S. Pat. No. 8,017,149, which is a Continuation of U.S. application Ser. No. 11/734,874, filed Apr. 13, 2007, now U.S. Pat. No. 7,722,898, which claims priority to U.S. Provisional Application No. 60/794,837, filed Apr. 26, 2006.

### FIELD OF THE INVENTION

The present invention is directed to controlled-release preparations of oxcarbazepine and derivatives thereof for once-a-day administration.

### BACKGROUND OF THE INVENTION

Oxcarbazepine belongs to the benzodiazepine class of drugs and is registered worldwide as an antiepileptic drug. Oxcarbazepine is approved as an adjunct or monotherapy for the treatment of partial seizures and generalized tonic-clonic seizures in adults and children. An immediate-release (IR) formulation of oxcarbazepine is currently on the market under the trade name Trileptal® and is administered twice a day to control epileptic seizures. Such immediate release compositions provide the drug to the patient in a manner that result in a rapid rise of the plasma drug concentration followed by a rapid decline. This sharp rise in drug concentration can result in side effects, and make multiple daily administration of the drug necessary in order to maintain a therapeutic level of the drug in the body. The need for a controlled-release dosage form for drugs taken chronically such as oxcarbazepine and derivatives is self-evident. Patient compliance is greatly improved with controlled-release (CR) dosage forms that are taken, for example, once-a-day. Also, there are significant clinical advantages such as better therapeutic efficacy as well as reduced side effects with controlled-release dosage forms.

Oxcarbazepine and its derivatives contemplated in this invention are poorly soluble in water. Due to their poor solubility, their release from a sustained release dosage form is rather incomplete. Whereas the in vitro release of oxcarbazepine is dependent on the dissolution method, including the dissolution media used, it has been found through in silico modeling that the release of oxcarbazepine in vivo

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from a traditional sustained-release dosage form is relatively low. This results in reduced bioavailability of the drug making the dosage form ineffective in providing a therapeutically effective concentration in the body. This poses a serious challenge to the successful development of sustained-release dosage forms for oxcarbazepine and its derivatives.

The rate of drug release from a dosage form has a significant impact on the therapeutic usefulness of the drug and its side effects. Hence, drug release profiles must be customized to meet the therapeutic needs of the patient. An example of a customized release profile is one that exhibits a sigmoidal release pattern, characterized by an initial slow release followed by fast release which is then followed by slow release until all of the drug has been released from the dosage form.

Sustained-release dosage forms for oxcarbazepine and derivatives have been described in the art. For example, Katzhendler et al. (U.S. Pat. No. 6,296,873) describes sustained-release delivery systems for carbamazepine and its derivatives. Katzhendler et al. teaches that a zero-order release profile is achieved for carbamazepine and derivatives through the use of hydrophilic and hydrophobic polymers. Zero-order (constant) release was achieved using high molecular weight hydroxypropyl methyl cellulose (HPMC) along with some optional hydrophobic excipients. A similar approach is taught by Shah et al. (US Patent Application 20020169145). Franke et al. (US Patent Application 20040142033) discloses sustained-release formulations of oxcarbazepine that are characterized by the release of 55%-85% of the drug in 15 minutes, and up to 95% in 30 minutes. According to the authors, such release profiles provide adequate sustained-release to achieve once-a-day administration of oxcarbazepine. However, the solubility and bioavailability of the drug from these enhanced preparations suitable for once-a-day administration. The prior art does not teach how to make preparations of oxcarbazepine and derivatives characterized by sigmoidal release profiles.

### SUMMARY OF THE INVENTION

It is an object of this invention to provide controlled-release formulations of oxcarbazepine for once-a-day administration. The composition of this invention is administered once-a-day and yet meets the therapeutic need of the patient. It is another object of this invention to improve the bioavailability of oxcarbazepine and derivatives thereof. It is yet another object of this invention to meet the therapeutic need of the patient without causing "spikes" in blood drug concentration that may lead to toxicity. It is yet another object of this invention to keep the blood concentration of the drug within the therapeutic window. It is yet another object of this invention to minimize the fluctuation between the  $C_{max}$  and  $C_{min}$  that is typical of many immediate-release and sustained-release preparations.

Many, if not all, of these objectives may be achieved in this invention through formulations that comprise both solubility-enhancing agents and release-promoting agents, and are characterized by release profiles that meet the requirement for once-a-day administration. The objectives may also be achieved through the combination of a multiplicity of units with different release profiles in one dosage unit. Minipellets/granules/tablets, which can be mixed in a certain ratio, provide a dosage form that meets the above stated therapeutic objectives.

This invention also pertains to multi-layer tablets. Multi-layer tablets can be prepared with each layer releasing the

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drug at a rate that is different from the rate of release from another layer. In multi-layer tablets, each layer may or may not be coated.

All of the advantages that stem from once-daily administration of a drug apply to the compositions of this invention. Some of the specific advantages of this invention may be: reduced fluctuation between  $C_{max}$  and  $C_{min}$  during the course of treatment and hence better therapeutic profile, reduced side-effects, improved patient compliance, and improved bioavailability of the drug.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the dissolution profiles for the three exemplary (CR-F, CR-M, and CR-S) oxcarbazepine formulations containing no solubility/release enhancer. The profiles show a non-zero order release with a lag. The  $T_{80s}$  (time for 80% of the dose to be released in vitro) for the CR-F, CR-M, and CR-S formulations were 2 Hrs, 5 Hrs and 11 Hrs, respectively. USP Apparatus II at 60 RPM was used. Dissolution medium was 1% SLS in water.

FIG. 2 shows the human pharmacokinetic (PK) profiles with respect to oxcarbazepine for the three exemplary controlled-release formulations of example 1 versus an immediate-release reference product (Trileptal® 600 mg). The strength of each formulation is 600 mg oxcarbazepine per tablet.

FIG. 3 shows the PK profiles with respect to the metabolite of oxcarbazepine (MHD) for the three exemplary controlled-release formulations of example 1 versus an immediate-release reference product (Trileptal® 600 mg). The strength of each formulation is 600 mg oxcarbazepine per tablet.

FIG. 4 shows the solubility results of oxcarbazepine with selected excipients.

FIG. 5 shows the dissolution profiles of oxcarbazepine CR formulations with solubility enhancer (CRE), without solubility enhancer (CR) and a "fast formulation" (CR-F) developed in Example 1. The time to dissolve 80% of the drug ( $T_{80}$ ) for CRE, CR, and CR-F are 5-6 Hrs, 8 Hrs, and 1.5 Hrs, respectively.

FIG. 6 shows the dissolution profiles for the fast (CRE-F), medium (CRE-M), and slow (CRE-S) oxcarbazepine formulations containing solubility/release enhancers. The  $T_{80s}$  for the CRE-F, CRE-M, and CRE-S are 1.5 Hrs, 5 Hrs, and 8 Hrs, respectively. USP Apparatus II at 60 RPM was used. Dissolution medium was 1% SLS in water.

FIG. 7 shows the canine pharmacokinetic profiles with respect to oxcarbazepine, comparing the enhanced formulation (CRE) with non-enhanced formulations containing oxcarbazepine (CR and CR-F).

FIG. 8 shows the canine pharmacokinetic profiles with respect to MHD, comparing the enhanced formulation (CRE) with non-enhanced formulations containing oxcarbazepine (CR and CR-F).

FIG. 9 shows the PK profiles shown in FIG. 8 with in silico predicted PK profile for a twice-a-day 300 mg IR.

FIG. 10 shows in silico predicted PK profiles for various in vitro release profiles.

FIG. 11 shows the in silico predicted in vivo release profiles for the systems in FIG. 10.

FIG. 12 shows human plasma concentration vs. time profiles with respect to MHD of the three Oxcarbazepine CR formulations in Example 4 (CRE-F, CRE-M, CRE-S) and Trileptal® as an IR control, dosed BID.

FIG. 13 shows human plasma concentration vs. time profiles with respect to the oxcarbazepine of the three

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Oxcarbazepine CR formulations in Example 4 (CRE-F, CRE-M, CRE-S) and Trileptal® as an IR control, dosed BID.

FIG. 14 shows the in silico predicted steady-state plasma profiles for the three exemplary formulations (CRE-F, CRE-M, and CRE-S) described in Example 4.

#### DETAILED DESCRIPTION OF THE INVENTION

It is the object of this invention to provide controlled-release oxcarbazepine formulations suitable for once-a-day administration. It is an additional object of the invention to incorporate a combination of solubility-enhancing excipients and/or release-promoting agents into the formulations to enhance the bioavailability of oxcarbazepine and its derivatives. Such compositions are referred to as enhanced formulations.

Oxcarbazepine was formulated to provide release profiles characterized by slow release initially, followed by rapid release and then followed by another period of slow release. Such a release profile is known to those skilled in the art as sigmoidal. Oxcarbazepine formulations with sigmoidal release profiles were tested in human pharmacokinetic (PK) studies. Based on the human data, improvements were made to the formulations by incorporating solubility enhancers and/or release-promoting excipients (such formulation are referred to as enhanced formulations). The enhanced formulations were tested in canine models and were surprisingly found to provide significant increase in bioavailability of oxcarbazepine compared to formulations containing no solubility/release enhancing excipients.

The incorporation of solubility enhancing agents in formulations containing poorly soluble drugs such as oxcarbazepine has a profound effect on the in vivo solubility and hence bioavailability of the drugs. Enhancing the solubility of oxcarbazepine results in an increase in its bioavailability and hence in better therapeutic performance of the drug. A combination of solubility and release promoters is contemplated in this invention. Preferable release promoting agents are pH dependent polymers, also known as enteric polymers. These materials are well known to those skilled in the art and exhibit pH dependent solubility such that they dissolve at pH values higher than about 4.0, while remaining insoluble at pH values lower than 4.0. Solubilizers function by increasing the aqueous solubility of a poorly soluble drug. When a formulation containing both the enteric polymer and solubilizer is exposed to an aqueous media of pH higher than 4.0, the enteric polymer dissolves rapidly leaving a porous structure, resulting in increased contact surface between the aqueous medium and the poorly soluble drug. This increased surface area enhances the efficiency of the solubilizer(s), and hence, the overall solubility and release rate of the drug is enhanced to a point where it impacts the availability of the drug for systemic absorption in patients.

Excipients that function as solubility enhancers can be ionic and non-ionic surfactants, complexing agents, hydrophilic polymers, pH modifiers, such as acidifying agents and alkalizing agents, as well as molecules that increase the solubility of poorly soluble drug through molecular entrapment. Several solubility enhancers can be utilized simultaneously. All enteric polymers that remain intact at pH value lower than about 4.0 and dissolve at pH values higher than 4.0, preferably higher than 5.0, most preferably about 6.0, are considered useful as release-promoting agents for this invention.

Suitable pH-sensitive enteric polymers include cellulose acetate phthalate, cellulose acetate succinate, methylcellulose

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lose phthalate, ethylhydroxycellulose phthalate, polyvinylacetate phthalate, polyvinylbutyrate acetate, vinyl acetate-maleic anhydride copolymer, styrene-maleic monoester copolymer, methyl acrylate-methacrylic acid copolymer, methacrylate-methacrylic acid-octyl acrylate copolymer, etc. These may be used either alone or in combination, or together with the polymers other than those mentioned above. Preferred enteric polymers are the pharmaceutically acceptable methacrylic acid copolymers. These copolymers are anionic polymers based on methacrylic acid and methyl methacrylate and, preferably, have a mean molecular weight of about 135000. A ratio of free carboxyl groups to methyl-esterified carboxyl groups in these copolymers may range, for example, from 1:1 to 1:3, e.g. around 1:1 or 1:2. Such polymers are sold under the trade name Eudragit™ such as the Eudragit L series e.g. Eudragit L 12.5™, Eudragit L 12.5P™, Eudragit L100™, Eudragit L 100-55™, Eudragit L-30D™, Eudragit L-30 D-55™, the Eudragit S™ series e.g. Eudragit S 12.5™, Eudragit S 12.5P™, Eudragit S100™. The release promoters are not limited to pH dependent polymers. Other hydrophilic molecules that dissolve rapidly and leach out of the dosage form quickly leaving a porous structure can be also be used for the same purpose.

The release-promoting agent can be incorporated in an amount from 10% to 90%, preferably from 20% to 80% and most preferably from 30% to 70% by weight of the dosage unit. The agent can be incorporated into the formulation either prior to or after granulation. The release-promoting agent can be added into the formulation either as a dry material, or it can be dispersed or dissolved in an appropriate solvent, and dispersed during granulation.

Solubilizers preferred in this invention include surface active agents such as sodium docusate, sodium lauryl sulfate, sodium stearyl fumarate, Tweens® and Spans (PEO modified sorbitan monoesters and fatty acid sorbitan esters), poly(ethylene oxide)-polypropylene oxide-poly(ethylene oxide) block copolymers (aka Plurionics™); complexing agents such as low molecular weight polyvinyl pyrrolidone and low molecular weight hydroxypropyl methyl cellulose; molecules that aid solubility by molecular entrapment such as cyclodextrins, and pH modifying agents, including acidifying agents such as citric acid, fumaric acid, tartaric acid, and hydrochloric acid; and alkalizing agents such as meglumine and sodium hydroxide.

Solubilizing agents typically constitute from 1% to 80% by weight, preferably from 1% to 60%, more preferably from 1% to 50%, of the dosage form and can be incorporated in a variety of ways. They can be incorporated in the formulation prior to granulation in dry or wet form. They can also be added to the formulation after the rest of the materials are granulated or otherwise processed. During granulation, solubilizers can be sprayed as solutions with or without a binder.

This invention also contemplates controlled-release formulations comprising oxcarbazepine that release the drug at variable rates in the GI tract. It is also an object of this invention to design a drug delivery system to deliver drug at a very low rate early, followed by a relatively increased rate. It is another object of this invention to provide a drug release profile that is characterized by an immediate-release followed by a modified-release, such as extended-release (XR) or delayed-release (DR). These types of release profiles ensure that the  $C_{max}$  (maximum concentration of the drug in blood/plasma) is kept within the therapeutic window while extending the maintenance of an effective drug level in the body. The goal of this invention is to develop a controlled-release pharmaceutical composition of oxcarbazepine that

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provides steady-state blood levels of MHD, an active metabolite of oxcarbazepine, at a concentration of about 2 µg/ml to about 10 µg/ml. In the preferred embodiment, steady-state blood  $C_{max}$  levels of MHD fall in the range of about 6 µg/ml to about 10 µg/ml, and  $C_{min}$  levels of MHD fall in the range of about 2 µg/ml to about 5 µg/ml. Reduced fluctuation between  $C_{max}$  and  $C_{min}$  during the course of treatment results in a better therapeutic profile, reduced side-effects, improved patient compliance, and improved bioavailability of the drug.

The desired drug release pattern contemplated by this invention is achieved by using “matrix” polymers that hydrate and swell in aqueous media, such as biological fluids. As these polymers swell, they form a homogenous matrix structure that maintains its shape during drug release and serves as a carrier for the drug, solubility enhancers and/or release promoters. The initial matrix polymer hydration phase results in slow-release of the drug (lag phase). Once the polymer is fully hydrated and swollen, the porosity of the matrix increases due to the leaching out of the pH-dependent release promoters, and drug is released at a faster rate. The rate of the drug release then becomes constant, and is a function of drug diffusion through the hydrated polymer gel.

Thus, the release vs. time curve is characterized by at least two slopes: one slope for the lag phase where drug release rate is low and a second slope where drug release is faster. The slope of the rising part of the release vs. time curve can be customized as to match the rate at which the drug is eliminated from the body. A desired release profile can be achieved by using swellable polymers alone or in combination with binders, such as gelling and/or network forming polymers.

The water-swellable, matrix forming polymers useful in the present invention are selected from a group comprising cellulosic polymers, such as hydroxypropylmethylcellulose (HPMC), hydroxypropylcellulose (HPC), hydroxyethylcellulose (HEC), methylcellulose (MC), powdered cellulose such as microcrystalline cellulose, cellulose acetate, sodium carboxymethylcellulose, calcium salt of carboxymethylcellulose, and ethylcellulose; alginates, gums such as guar and xanthan gums; cross-linked polyacrylic acid derivatives such as Carbomers (aka Carbopol™) available in various molecular weight grades from Noveon Inc. (Cincinnati, Ohio); carageenan; polyvinyl pyrrolidone and its derivatives such as crospovidone; polyethylene oxides; and polyvinyl alcohol. Preferred swellable polymers are the cellulosic compounds, HPMC being the most preferred.

The swellable polymer can be incorporated in the formulation in proportion from 1% to 50% by weight, preferably from 5% to 40% by weight, most preferably from 5% to 20% by weight. The swellable polymers and binders may be incorporated in the formulation either prior to or after granulation. The polymers can also be dispersed in organic solvents or hydro-alcohols and sprayed during granulation.

It is yet another aspect of this invention to prepare formulations of oxcarbazepine that combine multiple modified-release “units,” each “unit” prepared according to any one or more of the above-disclosed dosage forms, to provide for a customized release profile.

The modified-release units comprise minipellets/granules/tablets etc., each with unique release profiles, that can be mixed in a certain ratio to provide a dosage form that meets the above-stated therapeutic objectives. Alternatively, multiple modified release units may be formed into of multi-layer tablets. Multi-layer tablets can be prepared with each layer releasing the active compound at a rate that is



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different from the rate of release of the active ingredient from another layer. In multi-layer tablets, each layer may optionally be coated with controlled-release polymer(s). The combination dosage forms can exhibit release profiles that comprise any/all possible combinations of immediate

release (IR), delayed release (DR), and extended release (XR) formulations. Pellets/granules/tablets or each layer of a single tablet may optionally be coated.

Various hydrophobic excipients can be used to modify the hydration rate of the dosage unit when exposed to water or aqueous media. These excipients retard the wetting of the dosage unit and hence modify the release of the active agent. Hydrophobic excipients suitable for this invention are represented by, but not limited to, glyceryl monostearate, mixtures of glyceryl monostearate and glyceryl monopalmitate (Myvaplex, Eastman Fine Chemical Company), glyceryl monooleate, a mixture of mono, di and tri-glycerides (ATMUL 84S), glycerylmonolaurate, glyceryl behenate, paraffin, white wax, long chain carboxylic acids, long chain carboxylic acid esters and long chain carboxylic acid alcohols.

Examples of saturated straight chain acids, useful with the invention, are n-dodecanoic acid, n-tetradecanoic acid, n-hexadecanoic acid, caproic acid, caprylic acid, capric acid, lauric acid, myristic acid, palmitic acid, stearic acid, arachidic acid, behenic acid, montanic acid and melissic acid. Also useful are unsaturated monoolefinic straight chain monocarboxylic acids. Examples of these are oleic acid, gadoleic acid and erucic acid. Also useful are unsaturated (polyolefinic) straight chain monocarboxylic acids such as linoleic acid, linolenic acid, arachidonic acid and behenolic acid. Useful branched acids include, for example, diacetyl tartaric acid.

Examples of long chain carboxylic acid esters include, but are not limited to: glyceryl monostearates; glyceryl monopalmitates; mixtures of glyceryl monostearate and glyceryl monopalmitate (Myvaplex 600, Eastman Fine Chemical Company); glyceryl monolinoleate; glyceryl monooleate; mixtures of glyceryl monopalmitate, glyceryl monostearate, glyceryl monooleate and glyceryl monolinoleate (Myverol 18-92, Eastman Fine Chemical Company); glyceryl monolinoleate; glyceryl monogadoleate; mixtures of glyceryl monopalmitate, glyceryl monostearate, glyceryl monooleate, glyceryl monolinoleate, glyceryl monolinoleate and glyceryl monogadoleate (Myverol 18-99, Eastman Fine Chemical Company); acetylated glycerides such as distilled acetylated monoglycerides (Myvacet 5-07, 7-07 and 9-45, Eastman Fine Chemical Company); mixtures of propylene glycol monoesters, distilled monoglycerides, sodium stearyl lactylate and silicon dioxide (Myvatex TL, Eastman Fine Chemical Company); mixtures of propylene glycol monoesters, distilled monoglycerides, sodium stearyl lactylate and silicon dioxide (Myvatex TL, Eastman Fine Chemical Company), d-alpha tocopherol polyethylene glycol 1000 succinate (Vitamin E TPGS, Eastman Chemical Company); mixtures of mono- and diglyceride esters such as Atmul (Humko Chemical Division of Witco Chemical); calcium stearyl lactylate; ethoxylated mono- and diglycerides; lactated mono- and di-glycerides; lactylate carboxylic acid ester of glycerol and propylene glycol; lactic esters of long chain carboxylic acids; polyglycerol esters of long chain carboxylic acids, propylene glycol mono- and di-esters of long chain carboxylic acids; sodium stearyl lactylate; sorbitan monostearate; sorbitan monooleate; other sorbitan esters of long chain carboxylic acids; succinylated monoglycerides; stearyl monoglyceryl citrate; stearyl heptanoate; cetyl esters of waxes; cetearyl octanoate; C<sub>10</sub>-C<sub>30</sub>

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cholesterol/lavosterol esters; and sucrose long chain carboxylic acid esters. In addition, waxes can be useful alone or preferably in combination with the materials listed above. Examples of these are white wax, paraffin and carnauba wax.

Drug, polymers, and other excipients are typically combined and wet granulated using a granulating fluid. However, other methods of forming granules such as slugging, and roller compaction can also be used to manufacture matrix granules. Matrix tablets can also be made by direct compression. In wet granulation, typical granulating fluids are: water, a mixture of water and alcohol, anhydrous alcohol. Wet granules can be made in any granulating device such as mixers, high shear granulators, and fluid bed granulators. Granules can be dried in appropriate drying equipment such as fluid bed dryers, ovens, microwave dryers etc. Granules can also be air-dried. Dried granules can be milled using appropriate milling device to achieve a particular particle size distribution. Granules can be filled in to capsules, or blended with other excipients and tableted on a tablet press. Granules can also be packaged into sachets for sprinkle application. Other excipients used to aid tableting are well known to those skilled in the art and include magnesium stearate, talc, cabosil etc. Granules and tablets can, optionally, be coated to further modify release rates. Furthermore, formulations can also optionally contain dyes.

Optionally, but preferably, the tablet composition can contain one or more lubricants, which may be added to assure proper tableting. Non-limiting examples of lubricants include magnesium stearate, calcium stearate, zinc stearate, stearic acid, polyethylene glycol, leucine, glyceryl behenate, sodium stearyl fumarate, hydrogenated vegetable oils, and other waxes, including but not limited to, beeswax, carnauba wax, cetyl alcohol, glyceryl stearate, glyceryl palmitate, and stearyl alcohol. The lubricant, when present, is typically included in an amount of from about 0.1 wt. % to about 20 wt. % of the composition, preferably from about 1 to about 10 wt. %, and more preferably about 0.3 to about 3.0 wt. %.

The oxcarbapazine dosage can be formulated into tablets, granules, and pellets. The steps involved in the manufacturing of these dosage forms are well known to those skilled in the art. Briefly, tablets can be compressed from directly compressible blend containing the active or pre-formed granules. The tablets can be coated or not coated. The coating may optionally impart modification of release. Granules can be made by high shear granulation or fluid bed processing. The granules may or may not be coated. Pellets can be manufactured by drug layering on inert carriers such as sugar spheres. Pellets can also be manufactured by extrusion/spheronization process. The pellets may or may not be coated. Coated pellets and granules can be filled into capsules.

Formulations of this invention can also be made in pelletized forms, which can be filled into capsules or dispensed in sachets for sprinkle application. Each pellet is composed of the drug, swellable polymer(s) and other excipients that aid the processing. Pellets can be prepared in one of the many ways that are known by those skilled in the art. These include, for example, extrusion/spheronization and roller compaction (slugging). In the extrusion/spheronization technique, drug is mixed with swellable polymer(s), such as cellulosic polymers and other excipients. The blend is then granulated in a high shear granulator. The wet mass is then passed through an extruder and spheronized using a spheronizer. The pellets are then dried in an oven or fluid bed processor. The dried pellets are either processed further or encapsulated without further processing.

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Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. All publications, patent applications, patents, and other references mentioned herein are incorporated by reference in their entirety. In case of conflict, the present specification, including definitions, will control. In addition, the materials, methods, and examples are illustrative only and not intended to be limiting.

The invention now will be described in particularity with the following illustrative examples; however, the scope of the present invention is not intended to be, and shall not be, limited to the exemplified embodiments below.

## EXAMPLES

## Example 1. Oxcarbazepine Formulations with Sigmoidal Release Profiles

Table 1 provides the formula composition of oxcarbazepine controlled-release preparations with sigmoidal release profiles. Granules were prepared by high shear granulation using anhydrous ethanol as the granulating liquid. All ingredients, except for magnesium stearate, were charged in to VG-65/10M high shear granulator. The dry powders are blended by running the blade for 3 minutes, after which time the anhydrous ethanol was sprayed onto the mixing blend at a spray rate of approximately 40-60 gm/min. After about a minute of spray, the chopper on the VG-65/10M was started and run throughout the spray. Once the granulation was completed, the granulation was discharged from the VG high shear granulator, spread on an appropriate tray and placed in an oven to dry at 40° C. for 24 Hrs. Alternatively, granules can be dried using a fluid bed processor. Dry granules were screened through an 18-mesh screen. Screened granules were blended with magnesium stearate in a proportion of 99.5% granules and 0.5% magnesium stearate. The blend was then tableted on a rotary tablet press.

TABLE 1

Formula composition of Oxcarbazepine CR formulations with changing slope			
Ingredients	SLI 530 CR-F (Fast)	SLI530 CR-M (Medium)	SLI530 CR-S (Slow)
Oxcarbazepine	60	60	60
Compritel 888ATO	9.5	7	—
Prosolv HD90	9.8	20.3	15
Kollidon 25	10	—	—
Kollidon 90	—	3	—
Methocel E5 Prem. LV	—	—	10
Methocel K4M Premium CR	—	—	5
Carbopol 971P	10	9	9
Mg Stearate	0.5	0.5	0.5
FD&C Red #40	—	—	0.5
FD&C Blue #1	0.2	—	—
FD&C Yellow #6	—	0.2	—
Anhydrous Ethanol	*	*	*
Total	100	100	100

\* Removed during processing

FIG. 1 shows the dissolution profiles of three exemplary oxcarbazepine CR formulations (CR-F, CR-M, and CR-S). The profiles exhibited non-zero order release.

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## Example 2. Human Pharmacokinetic Evaluation of Oxcarbazepine CR Formulations from Example 1

The three formulations from the Example 1 were evaluated in humans to obtain pharmacokinetic information. An immediate release tablet (Trileptal® 600 mg) was used as a control reference. The formulations were examined in a randomized, single dose, crossover study in healthy human volunteers. Blood samples were analyzed for both the parent molecule oxcarbazepine and its metabolite (the monohydroxy derivative, MHD).

Table 2 provides the mean PK parameters for MHD. The PK profiles are shown in FIGS. 2 and 3.

TABLE 2

Pharmacokinetic parameters of the three exemplary formulations in example 1 and immediate release reference product.

PK Parameters	CR-F Fast	CR-M Med	CR-S Slow	Trileptal™ IR
T <sub>max</sub> (Hr)	6.5	8.4	9.1	1.4
C <sub>max</sub> (ug/mL)	0.248	0.146	0.103	1.412
AUC <sub>last</sub> (Hr*ug/mL)	3.0	2.5	1.7	5.7
Rel BA	53%	44%	30%	100%

## Example 3. Solubility Enhancers Screening

The solubility of oxcarbazepine in the presence of excipients was evaluated as follows:

Excipients were dissolved in phosphate buffer to make solutions with concentrations shown in Table 3. One gram of oxcarbazepine was then mixed with 19 gm of the excipient solution. The mixture was rocked overnight at room temperature and then filtered using 0.22 µm filter. The filtrates were analyzed by HPLC. The solubility results are given in Table 3 and FIG. 4.

TABLE 3

Solubility of Oxcarbazepine in the presence of excipients

Excipients	Excipient conc. (% w/w)	Solubility (mg/mL)
Phosphate Buffer Control	NA	0.4009
Hydroxypropyl betacyclodextrin (HBCD)	5	1.0218
Sodium Lauryl Sulfate (SLS)	5	4.1113
Kollidon 17	1	0.1717
SLS/HBCD	1, 1	0.3489
Cremophor RH40	1	0.3140
Docusate Sodium	5	6.5524
SLS/Polyethylene Glycol 400 (PEG400)	5, 1	3.0516
SLS/Stearic Acid/PEG400	5, 1, 1	3.2821
De-ionized Water	NA	0.2733

## Example 4. Formulation of Enhanced Dosage Forms

Tables 4 and 5 provide the composition of the formulation containing solubility- and release-enhancing agents. Granules were manufactured by high shear granulation using water as the granulating liquid. All ingredients, except for magnesium stearate, were charged into a VG-65/10M high shear granulator. The dry powders were blended by running the blade for 3 minutes, upon which time water was sprayed onto the mixing blend at a spray rate of approximately 40-60

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gm/min. After about a minute of spray, the chopper on the VG-65/10M was started and run throughout the spray. Once the granulation was completed, the granulation was discharged from the VG high shear granulator, spread on an appropriate tray and placed in an oven to dry at 40° C. for 24 Hrs. Alternatively, granules can be dried using a fluid bed processor. Dry granules are screened through an 18-mesh screen. Screened granules were blended with magnesium stearate in a proportion of 99.5% granules and 0.5% magnesium stearate. The resulting blend was then tableted on a rotary tablet press. Dissolution profiles for these formulations are shown in FIGS. 5 and 6.

TABLE 4

Percent Composition of Enhanced (CR-M) and non-Enhanced (CR) Prototypes		
Formulation	% PD0294-005 Enhanced	% PD0294-008 Non-Enhanced
Oxcarbazepine	60	60
Prosolv SMCC50	10	25
PVP K25	5	5
HPMC K4M premium	10	10
SLS	5	0
Eudragit L100-55	10	0
Magnesium Stearate	0.5	0.5

TABLE 5

Percent Composition for the three exemplary enhanced formulations: CR-F, CR-M, and CR-S.			
Formulation	% PD0294-046 CR-F	% PD0294-051 CR-M	% PD0294-054 CR-S
Oxcarbazepine	60	60	60
Prosolv SMCC50	15	10	5
PVP K25	5	5	5
HPMC K4M premium	5	10	15
SLS	5	5	5
Eudragit L100-55	10	10	10
Magnesium Stearate	0.5	0.5	0.5

Example 5. Canine PK Studies on Formulations from Example 4, Table 4 and Example 1. (SLI530CR-F)

Six male beagle dogs were dosed orally with the formulations in the order given in Table 6. Blood was drawn over a 24 Hr period and blood samples were analyzed by HPLC. A noncompartmental analysis of the data was used to generate  $T_{max}$ ,  $C_{max}$ ,  $AUC_{last}$ , and  $AUC_{inf}$ . Relative Bioavailability was calculated in Excel using the  $AUC_{last}$  and  $AUC_{inf}$  for the CR-F formulation as the control. The PK profiles for oxcarbazepine and 10-hydroxycarbazepine are given in FIGS. 7 and 8.

TABLE 6

Prototypes tested in dogs			
Phase	Test Article	SLI Lot #	Dose (mg)
1	Oxcarbazepine CR	PD0294-024A	600
2	Oxcarbazepine CR-M	PD0294-024B	600
3	Oxcarbazepine CR-F	B04032	600

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TABLE 7

Canine pharmacokinetic profiles for enhanced, non-enhanced and control formulations of oxcarbazepine			
Prototypes	Non-Enhanced CR (CR) PD0294-024A	Enhanced CR (CR-M) PD0294-024B	Fast CR (CR-F) B04032
$T_{max}$	1.5	1.8	1.7
$C_{max}$	1.20	1.72	0.7
$AUC_{last}$	3.44	7.98	3.41
$AUC_{inf}$	3.74	11.09	4.01
Rel $BA_{last}$	101%	234%	100%
Rel $BA_{inf}$	93%	276%	100%

Example 6 in Silico Modeling of Various Release Profiles of Oxcarbazepine XR

In silico modeling was carried out for various hypothetical systems. Results are shown in FIGS. 9-11.

Example 7. Human Pharmacokinetic Evaluation of Solubility Enhanced Oxcarbazepine CR Formulations from Example 4

The three solubility enhanced prototypes from the Example 4 were evaluated in humans to obtain pharmacokinetic information. An immediate release tablet (Trileptal® 300 mg) given BID was used as a reference. The formulations were examined in a randomized, single dose, crossover study in healthy human volunteers. Blood samples were analyzed for both the parent molecule oxcarbazepine and its metabolite (the monohydroxy derivative, MHD).

Table 8 provides the mean PK parameters for MHD. The PK profiles are shown in FIGS. 12 and 13.

TABLE 8

Pharmacokinetic parameters of the three exemplary solubility enhanced formulations in Example 4 and Trileptal™				
PK Parameters	CR-F Fast	CR-M Med	CR-S Slow	Trileptal™ BID
$T_{max}$ (Hr)	9	11	14	16
$C_{max}$ (ug/mL)	5.32	5.14	4.40	6.23
$AUC_{last}$ (Hr*ug/mL)	160.3	161.3	148.9	167.1
Rel BA	96%	97%	89%	100%

What is claimed is:

1. A controlled-release formulation comprising a homogeneous matrix comprising (a) oxcarbazepine, (b) a matrix-forming polymer selected from the group consisting of cellulosic polymers, alginates, gums, cross-linked polyacrylic acid, carageenan, polyvinyl pyrrolidone, polyethylene oxides, and polyvinyl alcohol, and (c) at least one agent that enhances the solubility of oxcarbazepine, and (d) at least one release promoting agent comprising an enteric polymer.

2. The formulation of claim 1, wherein the formulation is effective in minimizing fluctuations between  $C_{min}$  and  $C_{max}$  of monohydroxy derivative of oxcarbazepine.

3. The formulation of claim 1, wherein the amount of oxcarbazepine in the controlled release formulation is 600 mg.

4. The formulation of claim 1, wherein the enteric polymer comprises a polymer having pH-dependent solubility.

5. The formulation of claim 1, which can be administered once a day.

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6. The formulation of claim 1, wherein the at least one agent that enhances the solubility of oxcarbazepine is selected from the group consisting of surface active agents, complexing agents, cyclodextrins, pH modifying agents, and hydration promoting agents.

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